

On the Dynamical Coherence of Structurally Stable 3-diffeomorphisms

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Abstract—We prove that each structurally stable diffeomorphism f on a closed 3-manifold M^3 with a two-dimensional surface nonwandering set is topologically conjugated to some model dynamically coherent diffeomorphism.

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1. INTRODUCTION AND STATEMENT OF RESULTS

We consider a diffeomorphism f on a closed 3-manifold M^3 which satisfies Smale's axiom A (A -diffeomorphism). According to Smale's spectral theorem [14], the nonwandering set $NW(f)$ of f can be represented as a finite union of pairwise disjoint closed invariant sets, called *basic sets*, each of which contains a dense trajectory.

It is known that the existence of a basic set of codimension 0 or 1 implies strong constraints on the dynamics of f and topology of M^3 . Indeed, if $NW(f)$ contains a basic set \mathcal{B} with $\dim \mathcal{B} = 3$, then f is an Anosov diffeomorphism and the manifold M^3 is the torus \mathbb{T}^3 . The topological classification of Anosov diffeomorphisms on \mathbb{T}^3 was obtained by J. Franks in [3].

If $\dim \mathcal{B} = 2$, then, due to [12], \mathcal{B} is either an attractor or a repeller. It follows from [1] that any two-dimensional attractor (repeller) of an A -diffeomorphism $f : M^3 \rightarrow M^3$ is either an expanding attractor (contracting repeller) or a surface attractor (surface repeller). Recall that, due to [15], an attractor \mathcal{B} of f is said to be *expanding* if the topological dimension of \mathcal{B} is equal to the dimension of $W_x^u, x \in \mathcal{B}$. We say that \mathcal{B} is a *contracting repeller* of f if it is an expanding attractor for f^{-1} . According to [6], a basic set \mathcal{B} of a diffeomorphism $f : M^3 \rightarrow M^3$ is called a *surface basic set* if it is contained in an f -invariant closed surface $M_{\mathcal{B}}^2$ (not necessarily connected) topologically embedded in M^3 . We say that *the nonwandering set $NW(f)$ is a two-dimensional surface set* if each of its basic sets is two-dimensional and surface.

It follows from [7] and [11] that any manifold M^3 which admits a structurally stable diffeomorphism $f : M^3 \rightarrow M^3$ with a two-dimensional expanding attractor (contracting repeller) is diffeomorphic to the torus \mathbb{T}^3 , and moreover, f is topologically conjugated with the diffeomorphism obtained from Anosov diffeomorphism by the surgery operation (see Fig. 1).

In this paper we consider a class G of A -diffeomorphisms $f : M^3 \rightarrow M^3$ with two-dimensional surface nonwandering sets. Due to [4, 5], a manifold M^3 which admits a diffeomorphism $f \in G$ is

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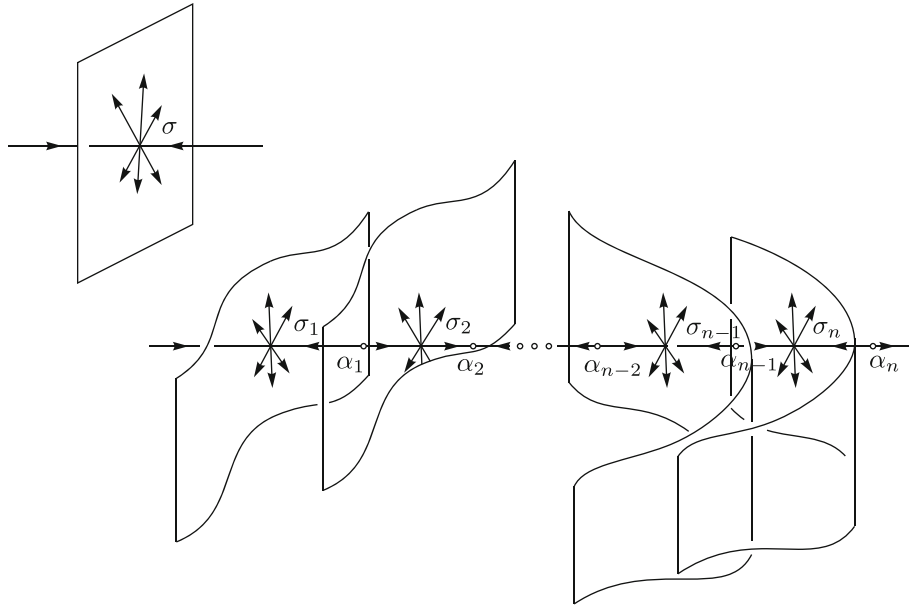


Fig. 1. Structurally stable diffeomorphism on \mathbb{T}^3 with a two-dimensional expanding attractor obtained from Anosov diffeomorphism by the surgery operation.

diffeomorphic to the mapping torus¹⁾ $M_{\hat{J}}$, where \hat{J} is an algebraic automorphism²⁾ of the torus defined by the matrix J which is either hyperbolic or equals the identity matrix $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ or the matrix $-I = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$.

Denote by \mathcal{C} the set of the hyperbolic matrices from $GL(2, \mathbb{Z})$ and set $\mathcal{J} = \mathcal{C} \cup Id \cup (-Id)$.

Let us recall (see, for example, [2, 9]) that a diffeomorphism g on M^3 is *partially hyperbolic* if the following holds:

- (i) there exists a continuous splitting of the tangent bundle $T_{M^3} = E^s \oplus E^c \oplus E^u$ invariant under the derivative Dg , where $\dim E^s = \dim E^c = \dim E^u = 1$;
- (ii) the strong expansion of the unstable bundle E^u and the strong contraction of the stable bundle E^s dominate any expansion or contraction on the center E^c .

A partially hyperbolic diffeomorphism g is called *dynamically coherent* if there are g -invariant foliations tangent to both $E^{cs} = E^s \oplus E^c$ and $E^{cu} = E^c \oplus E^u$ and hence, there is a g -invariant foliation tangent to E^c .

In the next sections we construct models of dynamically coherent diffeomorphisms on $M_{\hat{J}}$ and prove the following result.

Theorem 1. *Each structurally stable diffeomorphism $f \in G$ is topologically conjugated to a dynamically coherent model diffeomorphism.*

2. MODELS OF DYNAMICALLY COHERENT DIFFEOMORPHISMS

For the description of the dynamics of diffeomorphism from G let us recall the topological classification of structural stable diffeomorphisms on the circle \mathbb{S}^1 .

Let $MS(\mathbb{S}^1)$ be a class of structural stable transformations of the circle, which coincides, due to Mayer's results [10], with the class of Morse–Smale diffeomorphisms on \mathbb{S}^1 . Divide $MS(\mathbb{S}^1)$

¹⁾ The mapping torus is the factor space M_{τ} obtained from $\mathbb{T}^2 \times [0, 1]$ by identification of points $(z, 1)$ and $(\tau(z), 0)$, where $\tau : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ is a homeomorphism.

²⁾ An algebraic automorphism $\hat{C} : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ of the torus $\mathbb{T}^2 = \mathbb{R}^2/\mathbb{Z}^2$ is a map defined by the matrix $C = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ which belongs to the set $GL(2, \mathbb{Z})$ of integer matrices with determinant ± 1 , i.e., $\hat{C}(x, y) = (ax + by, cx + dy) \pmod{1}$. \hat{C} is called *hyperbolic* if the absolute value of each eigenvalue is different from one. In the latter case the matrix C is also called *hyperbolic*.

into two subclasses $MS_+(\mathbb{S}^1)$ and $MS_-(\mathbb{S}^1)$ consisting of orientation-preserving and orientation-reversing diffeomorphisms, respectively. Below we formulate the results of Mayer on the topological classification of structural stable transformations.

Statement 1.

1. For each diffeomorphism $\varphi \in MS_+(\mathbb{S}^1)$ the set $NW(\varphi)$ consists of $2n, n \in \mathbb{N}$ periodic orbits, each of them has period k .
2. For each diffeomorphism $\varphi \in MS_-(\mathbb{S}^1)$ the set $NW(\varphi)$ consists of $2q, q \in \mathbb{N}$ periodic points, two of them are fixed, the others have period 2.

Let $\varphi \in MS_+(\mathbb{S}^1)$. Enumerate the periodic points from $NW(\varphi)$: $p_0, p_1, \dots, p_{2nk-1}, p_{2nk} = p_0$ starting from arbitrary periodic point p_0 clockwise, then $\varphi(p_0) = p_{2nl}$, where l is an integer such that for $k = 1, l = 0$, while for $k > 1, l \in \{1, \dots, k - 1\}$ and (k, l) are coprime³⁾. Notice that the number l does not depend on the choice of the point p_0 .

Statement 2.

1. Two diffeomorphisms $\varphi; \varphi' \in MS_+(\mathbb{S}^1)$ with parameters $n, k, l; n', k', l'$ are topologically conjugated if and only if $n = n', k = k'$ and one of the following assertions holds:
 - $l = l'$ (hence, if $l \neq 0$, then the conjugating homeomorphism is orientation-preserving),
 - $l = k' - l'$ (hence, the conjugating homeomorphism is orientation-reversing).
2. Two diffeomorphisms $\varphi; \varphi' \in MS_-(\mathbb{S}^1)$ with parameters $q; q'$ are topologically conjugated if and only if $q = q'$.

For $n, k \in \mathbb{N}$ and integer l such that for $k = 1, l = 0$, while for $k > 1, l \in \{1, \dots, k - 1\}$, let us construct a standard representative φ_+ in $MS_+(\mathbb{S}^1)$ with parameters n, k, l . For $q \in \mathbb{N}$ let us construct a standard representative φ_- in $MS_-(\mathbb{S}^1)$ with parameter q .

Let us represent \mathbb{S}^1 as $\mathbb{S}^1 = \{e^{i2\pi r} = (\cos 2\pi r, \sin 2\pi r) \in \mathbb{R}^2 : r \in \mathbb{R}\}$. Denote by $\pi : \mathbb{R} \rightarrow \mathbb{S}^1$ the projection given by the formula $\pi(r) = e^{i2\pi r}$. Let us introduce the following maps: $\psi_m : \mathbb{R} \rightarrow \mathbb{R}$ is the time-one map of the flow generated by $\dot{r} = ln \mu \cdot \sin(2\pi mr)$ for $m \in \mathbb{N}, \mu > 1$; $\chi_{k,l} : \mathbb{R} \rightarrow \mathbb{R}$ is a diffeomorphism given by the formula $\chi_{k,l}(r) = r - \frac{l}{k}$; $\chi : \mathbb{R} \rightarrow \mathbb{R}$ is a diffeomorphism given by the formula $\chi(r) = -r$; $\tilde{\varphi}_+ = \psi_{n,k} \chi_{k,l} : \mathbb{R} \rightarrow \mathbb{R}$ and $\tilde{\varphi}_- = \psi_q \chi : \mathbb{R} \rightarrow \mathbb{R}$.

Let $\sigma \in \{+, -\}$, then it can be directly verified that $\tilde{\varphi}_\sigma(r + \nu) = \tilde{\varphi}_\sigma(r)$ for $\nu \in \mathbb{Z}$. Hence the following diffeomorphisms are well defined: $\varphi_\sigma = \pi \tilde{\varphi}_\sigma \pi^{-1} : \mathbb{S}^1 \rightarrow \mathbb{S}^1$. Here $\pi^{-1}(s)$ is a complete preimage of point $s \in \mathbb{S}^1$.

Using φ_σ and a hyperbolic matrix C , let us construct the model diffeomorphism ϕ_σ on $M_{\hat{J}}$ for $J \in \mathcal{J}$ from the class G .

Denote by $\tilde{\phi}_\sigma : \mathbb{T}^2 \times \mathbb{R} \rightarrow \mathbb{T}^2 \times \mathbb{R}$ the product of the diffeomorphism $\tilde{\varphi}_\sigma$ and the automorphism $\hat{C}, C \in \mathcal{C}$, that is, $\tilde{\phi}_\sigma(z, r) = (\hat{C}(z), \tilde{\varphi}_\sigma(r))$. As $M_{\hat{J}} = (\mathbb{T}^2 \times \mathbb{R})/\Gamma$ and Γ is a cyclic group with generator $\gamma(z, r) = (\hat{J}(z), r - 1)$, then either $\tilde{\phi}_\sigma \gamma = \gamma \tilde{\phi}_\sigma$ or $\tilde{\phi}_\sigma \gamma^{-1} = \gamma \tilde{\phi}_\sigma$ is a necessary and sufficient condition to well define the diffeomorphism $\phi_\sigma : M_{\hat{J}} \rightarrow M_{\hat{J}}$ by the formula $\phi_\sigma = p_J \tilde{\phi}_\sigma p_J^{-1}$ (see, for example, [8]). Hence it follows that $CJ = JC$ for $\sigma = +$ and $CJ^{-1} = JC$ for $\sigma = -$. The condition $CJ^{-1} = JC$ implies $C^2J = JC^2$. Then \hat{J} belongs to the centralizer of \hat{C}^2 and, hence, due to [13], $J \in \{Id, -Id\}$ for $\sigma = -$. Thus we get such a description of the models.

Let $J_+ \in \mathcal{J}$ and $C_+ \in \mathcal{C}$ such that $C_+J_+ = J_+C_+$. Let $J_- \in \{Id, -Id\}$ and $C_- \in \mathcal{C}$. Set $\tilde{\phi}_\sigma(z, r) = (\hat{C}_\sigma(z), \tilde{\varphi}_\sigma(r))$. It is immediately verified that $\tilde{\phi}_\sigma \gamma_\sigma = \gamma_\sigma \tilde{\phi}_\sigma$, where $\gamma_\sigma(z, r) = (J_\sigma(z), r - 1)$ is the generator of the group $\Gamma_\sigma = \{\gamma_\sigma^i, i \in \mathbb{Z}\}$. Then the following concept is well defined.

³⁾Indeed, instead the number l A. G. Mayer used the number r_1 , which he called *ordering number*, such that $l \cdot r_1 \equiv 1 \pmod{k}$.

Definition 1. We say that the diffeomorphism $\phi_\sigma : M_{\hat{J}_\sigma} \rightarrow M_{\hat{J}_\sigma}, \sigma \in \{+, -\}$ is a locally direct product of \hat{C}_σ and φ_σ , if $\phi_\sigma = p_{J_\sigma} \tilde{\phi}_\sigma p_{J_\sigma}^{-1}$ and write $\phi_\sigma = \hat{C}_\sigma \otimes \varphi_\sigma$.

Let us denote by Φ_+ (Φ_-) the set of all locally direct products ϕ_+ (ϕ_-). Set $\Phi = \Phi_+ \cup \Phi_-$. By the construction each diffeomorphism ϕ_σ from Φ_σ has a two-dimensional surface nonwandering set and, moreover, ϕ_σ is dynamically coherent if $\mu < |\lambda|$, where $|\lambda|, \frac{1}{|\lambda|}$ are absolute values of eigenvalues of C_σ .

Now let $f \in G$. Denote by \mathcal{A} and \mathcal{R} the attractor and the repeller of f , respectively, such that $NW(f) = \mathcal{A} \cup \mathcal{R}$.

Definition 2. We say that $f \in G$ is topologically coherent if the following properties hold:

- (i) if the intersection $W^s(x) \cap W^u(y)$ is nonempty for some points $x \in \mathcal{A}, y \in \mathcal{R}$, then each of its connected components is an open arc which has exactly two boundary points, one of them being from \mathcal{A} and the other from \mathcal{R} (see Fig. 2);
- (ii) There is an f -invariant one-dimensional continuous foliation on M^3 , each of its leaves being the union of the closure of arcs determined in (i).

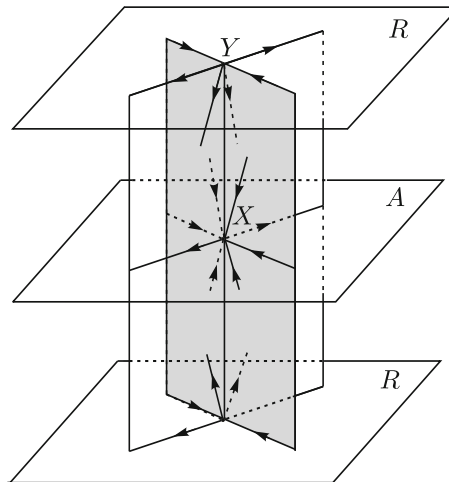


Fig. 2. Topologically coherent diffeomorphism.

The following facts were proved in [5].

Statement 3.

- (I) each $f \in G$ is Ω -conjugated⁴⁾ with a dynamically coherent diffeomorphism ϕ from Φ ;
- (II) if $f \in G$ is topologically coherent, then f is topologically conjugated with a dynamically coherent diffeomorphism ϕ from Φ .

In the next section we will prove that an arbitrary structurally stable diffeomorphism f from G is topologically coherent, which implies that Theorem 1 holds.

⁴⁾Two diffeomorphisms $f : M^3 \rightarrow M^3, f' : M'^3 \rightarrow M'^3$ are said to be Ω -conjugated if there exists a homeomorphism $h : M^3 \rightarrow M'^3$ such that $h(NW(f)) = NW(f')$ and $hf|_{NW(f)} = f'h|_{NW(f)}$.

3. THE EXISTENCE OF A ONE-DIMENSIONAL FOLIATION

This section is devoted to the proof of the following fact.

Lemma 1. *Each structurally stable diffeomorphism f from G is topologically coherent.*

Proof. Let us divide the proof into three steps.

Step 1. By Statement 3, f is Ω -conjugated with some diffeomorphism $\phi : M_{\hat{J}} \rightarrow M_{\hat{J}}$ from the class Φ by means a homeomorphism $h : M^3 \rightarrow M_{\hat{J}}, J \in \mathcal{J}$. To prove the lemma, it is enough to assume that the diffeomorphism ϕ belongs to Φ_+ and is defined by parameters $C \in \mathcal{C}, n \in \mathbb{N}, k = 1, l = 0$ (in the opposite case we can take some power of f). Set $\psi = hf h^{-1} : M_{\hat{J}} \rightarrow M_{\hat{J}}$. By the construction ψ is topologically conjugated with f by means of h . Then we will apply to the homeomorphism ψ the notions and denotations of the nonwandering set and also stable and unstable manifolds of nonwandering points, understanding this as a preimage under h of similar objects for the diffeomorphism f . Thus ψ coincides with ϕ on their common nonwandering set and its lift $\check{\psi} : \mathbb{T}^2 \times \mathbb{R} \rightarrow \mathbb{T}^2 \times \mathbb{R}$ coincides with $\check{\phi}$ on the set $\mathbb{T}^2 \times (\bigcup_{i \in \mathbb{Z}} \frac{i}{2n})$.

Denote by $p : \mathbb{R}^2 \rightarrow \mathbb{T}^2$ a universal cover such that $p(x, y) = (x \pmod{1}, y \pmod{1})$. Let the map $\widehat{C} : \mathbb{T}^2 \rightarrow \mathbb{T}^2$ given by the matrix $C = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ and $\check{C} : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ diffeomorphism given by the formula $\check{C}(x, y) = (ax + by, cx + dy)$. Let (\hat{x}_0, \hat{y}_0) be some fixed point of \widehat{C} and $x_0, y_0 \in [0, 1)$ such that $p(x_0, y_0) = (\hat{x}_0, \hat{y}_0)$. Then there are integers α, β such that $\check{C}(x_0, y_0) = (x_0 + \alpha, y_0 + \beta)$. Hence $\check{\psi}_0(x, y) = (ax + by - \alpha, cx + dy - \beta)$ is the lift of \widehat{C} with respect to p with the unique fixed point (x_0, y_0) . Let $\eta : \mathbb{R}^3 \rightarrow \mathbb{T}^2 \times \mathbb{R}$ be a cover given by the formula $\eta(x, y, z) = (p(x, y), z)$. Denote by $\check{\psi} : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ the lift of $\check{\psi}$ with respect to η coinciding with $\check{\psi}_0$ on $\Pi_0 = \mathbb{R}^2 \times \{0\}$.

As $\check{\psi}$ is a lift of $\check{\psi}$ and $\check{\psi}_* : \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$ given by the matrix C then $\check{\psi}b = b'\check{\psi}$, where $b : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ given by formula $b(x, y, z) = (x + \nu_1, y + \nu_2, z), (\nu_1, \nu_2) \in \mathbb{Z}^2$ and $b'(x, y, z) = (x + a\nu_1 + b\nu_2, y + c\nu_1 + d\nu_2, z)$. Thus the diffeomorphism $\check{\psi}(x, y, z) = (\check{\psi}_1(x, y, z), \check{\psi}_2(x, y, z), \check{\psi}_3(x, y, z))$ has the form

$$\begin{aligned} \check{\psi}_1(x, y, z) &= ax + by + h_1(x, y, z), \\ \check{\psi}_2(x, y, z) &= cx + dy + h_2(x, y, z), \\ \check{\psi}_3(x, y, z) &= h_3(x, y, z), \end{aligned} \tag{*}$$

where $h_j(x + \nu_1, y + \nu_2, z) = h_j(x, y, z), j = 1, 2, 3$ for each $\nu_1, \nu_2 \in \mathbb{Z}$.

Step 2. For the stable (unstable) manifold $W^s(x)$ ($W^u(x)$) of the nonwandering point $x \in NW(\psi)$ of homeomorphism ψ we will denote by $w^s(\check{x})$ ($w^u(\check{x})$) the connected component of the set $\eta^{-1}(W^s(x))$ ($\eta^{-1}(W^u(x))$) passing through the point \check{x} . The homeomorphism $\check{\psi}$ has exactly one fixed saddle point P_i belonging to the plane $\Pi_i = \mathbb{R}^2 \times \{\frac{i}{2n}\}$ for each $i \in \mathbb{Z}$. Let us show that the intersection $(cl w^u(P_0)) \cap \Pi_1 = w^u(P_1)$.

For this purpose let us introduce the notion of (x, y) -asymptotic direction for a curve $\gamma(t) = (h_x(t), y(t), z(t)), t \in \mathbb{R}$ in \mathbb{R}^3 as $\lim_{t \rightarrow \pm\infty} \frac{y(t)}{x(t)}$.

Notice that the homeomorphism $\check{\psi}$ possesses two transversal one-dimensional $\check{\psi}$ -invariant foliations F_0^s, F_0^u (F_1^s, F_1^u) on Π_0 (Π_1) consisting of parallel straight lines with different (x, y) -asymptotic directions μ_s and μ_u which are irrational numbers. Let $L_0^u(P_0)$ ($L_1^s(P_1)$) be a leaf of foliation F_0^u (F_1^s) passing through the point P_0 (P_1). Further it is useful to look at Fig. 3.

Choose a closed box B_u (B_s) bounded by the planes $\Pi_0, \Pi_1, Q_1^u = \{(x, y, z) \in \mathbb{R}^3 : y = \mu_u x + b_1^u\}, Q_2^u = \{(x, y, z) \in \mathbb{R}^3 : y = \mu_u x + b_2^u\}$ ($\Pi_0, \Pi_1, Q_1^s = \{(x, y, z) \in \mathbb{R}^3 : y = \mu_s x + b_1^s\}, Q_2^s = \{(x, y, z) \in \mathbb{R}^3 : y = \mu_s x + b_2^s\}$) and containing $L_0^u(P_0)$ ($L_1^s(P_1)$). Then there is a homeomorphism $h_u : \mathbb{R} \times [0, 1] \rightarrow W^u(P_0)$ ($h_s : \mathbb{R} \times [0, 1] \rightarrow W^s(P_1)$) such that $h_u(\mathbb{R} \times \{0\}) = L_0^u(P_0)$ ($h_s(\mathbb{R} \times \{0\}) = L_1^s(P_1)$) and the collar $N(L_0^u(P_0)) = h_u(\mathbb{R} \times [0, 1])$ ($N(L_1^s(P_1)) = h_s(\mathbb{R} \times [0, 1])$) is situated in B_u (B_s). Thus the curve $l_u = h_u(\mathbb{R} \times \{1\})$ ($l_s = h_s(\mathbb{R} \times \{1\})$) has the (x, y) -asymptotic direction μ_u (μ_s).

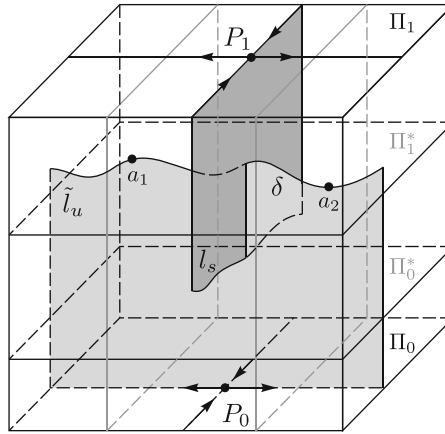


Fig. 3. Box \tilde{B}_u .

Let us choose $z_0^*, z_1^* \in (0, \frac{1}{2n})$ such that the planes $\Pi_0^* = \{(x, y, z) \in \mathbb{R}^3 : z = z_0^*\}, \Pi_1^* = \{(x, y, z) \in \mathbb{R}^3 : z = z_1^*\}$ divide the domain $\mathbb{R}^2 \times (0, \frac{1}{2n})$ into three open sets V_0, V, V_1 with the properties $(cl V_0) \setminus V_0 = \Pi_0 \cup \Pi_0^*, (cl V_1) \setminus V_1 = \Pi_1 \cup \Pi_1^*$ and $l_u, l_s \subset V$. Let us show that there is a number $k_* \in \mathbb{N}$ such that the curve $\tilde{l}_u = \check{\psi}^{k_*}(l_u)$ is a subset of V_1 .

Indeed, $\eta(cl V) = \mathbb{T}^2 \times [z_0^*, z_1^*]$ and each point $t \in \eta(cl V)$ is wandering for $\check{\psi}$ and its positive iterations go to the attractor $T_1 = \eta(\Pi_1)$. Then there is a neighborhood $U_t \subset \mathbb{T}^2 \times (0, \frac{1}{2n})$ of the point t and a natural number $k(t)$ such that $\check{\psi}^k(U_t) \subset \eta(V_1)$ for $k \geq k(t)$. As the set $\eta(cl V)$ is compact, there is a finite subcover for the cover $\{U_t, t \in \eta(cl V)\}$. Thus there is a natural number k_* such that $\check{\psi}^k(\eta(cl V)) \subset \eta(V_1)$ for $k \geq k_*$. Hence, $\check{\psi}^k(cl V) \subset V_1$ for $k \geq k_*$ and also $\tilde{l}_u \subset V_1$.

Due to the form (*), the collar $\tilde{N}(L_0^u(P_0)) = \check{\psi}^{k_*}(N(L_0^u(P_0)))$ belongs to the box \tilde{B}_u bounded by the planes $\Pi_0, \Pi_1, \tilde{Q}_1^u = \{(x, y, z) \in \mathbb{R}^3 : y = \mu_u x + \tilde{b}_1^u\}, \tilde{Q}_2^u = \{(x, y, z) \in \mathbb{R}^3 : y = \mu_u x + \tilde{b}_2^u\}$ for some numbers $\tilde{b}_1^u, \tilde{b}_2^u$. Hence the curve \tilde{l}_u has the (x, y) -asymptotic direction μ_u . By the construction \tilde{l}_u is situated between Π_1^* and Π_1 . Then $\tilde{l}_u \cap B_s \neq \emptyset$ and, moreover, there is an arc $\delta \subset (\tilde{l}_u \cap B_s)$ which has end points $a_1 \in Q_1^s, a_2 \in Q_2^s$. Since $l_s \subset V$, we have $\delta \cap N(L_1^s(P_1)) \neq \emptyset$.

Thus $w^u(P_0) \cap w^s(P_1) \neq \emptyset$. As the original diffeomorphism f is structurally stable, the intersection $w^u(P_0) \cap w^s(P_1)$ is topologically transversal. So δ is topologically transversal to $w^s(P_1)$. Since in a neighborhood of P_1 the homeomorphism $\check{\psi}$ is topologically conjugated with the hyperbolic saddle point, by the λ -lemma we have $w^u(P_1) \subset cl(\bigcup_{n \geq 1} \check{\psi}^n(\delta))$. Hence $w^u(P_1) \subset (cl w^u(P_0))$. On

the other hand, $N(L_0^u(P_0)) \subset B_u$ and the set $K_u = (\tilde{N}(L_0^u(P_0)) \setminus N(L_0^u(P_0)))$ belongs to a part \tilde{B}_u^* of \tilde{B}_u bounded by Π_0^* and Π_1 .

Set $w_+^u(P_0) = w^u(P_0) \cap (\mathbb{R}^2 \times [0, \frac{1}{2n}))$. Let us represent the set $w_+^u(P_0)$ as the union $w_+^u(P_0) = N(L_0^u(P_0)) \cup \bigcup_{i \in \mathbb{N}} f^i(K_u)$. Hence, $N(L_0^u(P_0)) \subset B_u$ and $\bigcup_{i \in \mathbb{N}} \check{\psi}^i(K_u) \subset \bigcup_{i \in \mathbb{N}} \check{\psi}^i(\tilde{B}_u^*)$. Since Π_1 is an attractor for $\check{\psi}$ on $\mathbb{R}^2 \times (-\frac{1}{2n}, \frac{1}{2n})$ and due to (*) we have $\check{\psi}^i(\tilde{B}_u^*) \subset \tilde{B}_u^*$ for some $i \in \mathbb{N}$. Without loss of generality we can assume that $i = 1$. Thus $\bigcup_{i \in \mathbb{N}} \check{\psi}^i(K_u) \subset \tilde{B}_u^*$ and hence $(cl w_+^u(P_0)) \setminus w_+^u(P_0) \subset \tilde{B}_u$. Since $cl w_+^u(P_0) \setminus w_+^u(P_0)$ is $\check{\psi}$ -invariant, $(cl w_+^u(P_0) \setminus w_+^u(P_0)) \subset \bigcap_{i \in \mathbb{N}} \check{\psi}^i(\tilde{B}_u^*)$. As $w^u(P_1)$ is an attractor for $\check{\psi}|_{\Pi_1}$ and $w^u(P_1)$ is a unique $\check{\psi}$ -invariant set in Π_1 , we have $(cl w^u(P_0)) \cap \Pi_1 = w^u(P_1)$.

Step 3. On the set $\mathbb{R}^2 \times [0, \frac{1}{2n})$ there is a $\check{\psi}$ -invariant two-dimensional foliation R_0 each leaf of which is homeomorphic to the semiplane and coincides with $w^u(x) \cap (\mathbb{R}^2 \times [0, \frac{1}{2n}))$ for some point $x \in \Pi_0$. Since $\psi|_{\eta(\Pi_0)}$ is a hyperbolic automorphism, the projection (by virtue of η) of an arbitrary

point with the rational coordinates on Π_0 is a periodic point of $\psi|_{\eta(\Pi_0)}$. As each periodic point of ψ is the fixed point for some power of ψ , for an arbitrary leaf G_0 of foliation R_0 passing through a point with the rational coordinates on Π_0 we have $cl(G_0) \cap \Pi_1 = \{(x, y, z) \in \Pi_1 : y = \mu_u x + b_{G_0}\}$ for some $b_{G_0} \in \mathbb{R}$. By the continuity we have the same property for an arbitrary leaf G_0 of foliation R_0 .

Analogously, on the set $\mathbb{R}^2 \times (0, \frac{1}{2n}]$ there is a $\check{\psi}$ -invariant two-dimensional foliation R_1 each leaf of which is homeomorphic to the semiplane and coincides with $w^s(x) \cap (\mathbb{R}^2 \times [0, \frac{1}{2n}))$ for some point $x \in \mathbb{T}^2 \times \{\frac{1}{2n}\}$. Similarly, for an arbitrary leaf G_1 of foliation R_1 we have $cl(G_1) \cap \Pi_0 = \{(x, y, z) \in \Pi_0 : y = \mu_s x + b_{G_1}\}$ for some $b_{G_1} \in \mathbb{R}$.

Thus the intersection $Y = G_0 \cap G_1$ is not empty for each leaf $G_0 \in R_0, G_1 \in R_1$ and $cl(Y) \setminus Y$ consists of two points $P_{G_0} \in \Pi_0, P_{G_1} \in \Pi_1$. Moreover, $cl Y$ is compact as G_0 and G_1 have different (x, y) -asymptotic directions. As f is structurally stable and it is topologically conjugated with ψ , the set $cl(Y)$ consists of curves. If we suppose that the number of curves in $cl(Y)$ is greater than one, then there appears an open 2-disc $D \subset cl(G_0)$, bounded by curves from $cl(Y)$ foliated by closed curves, which are an intersection of the leaves of foliation R_1 with D . This is in contradiction with the fact that an open 2-disc does not admit a foliation without singularities that consists of simple closed curves. \square

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