

On Embedding of Multidimensional Morse-Smale Diffeomorphisms into Topological Flows

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Abstract

J. Palis found necessary conditions for a Morse-Smale diffeomorphism on a closed n -dimensional manifold M^n to embed into a topological flow and proved that these conditions are also sufficient for $n = 2$. For the case $n = 3$ a possibility of wild embedding of closures of separatrices of saddles is an additional obstacle for Morse-Smale cascades to embed into topological flows. In this paper we show that there are no such obstructions for Morse-Smale diffeomorphisms without heteroclinic intersection given on the sphere S^n , $n \geq 4$, and Palis's conditions again are sufficient for such diffeomorphisms.

1 Introduction and statements of results

Let M^n be a smooth connected closed n -manifold. Recall that a C^m -flow ($m \geq 0$) on the manifold M^n is a continuously depending on $t \in \mathbb{R}$ family of C^m -diffeomorphisms $X^t : M^n \rightarrow M^n$ that satisfies the following conditions:

- 1) $X^0(x) = x$ for any point $x \in M^n$;
- 2) $X^t(X^s(x)) = X^{t+s}(x)$ for any $s, t \in \mathbb{R}$, $x \in M^n$.

A C^0 -flow is also called a *topological flow*. One says that a homeomorphism (diffeomorphism) $f : M^n \rightarrow M^n$ embeds into a C^m -flow on M^n if f is the time one map of this flow.

Obviously, if a homeomorphism embeds in a flow then it is isotopic to identity. For a homeomorphism of the line and a connected subset of the line this condition also is necessary (see [6],[8]). If an orientation preserving homeomorphism f of the circle satisfies either one of the three conditions: 1) f has a fixed point, 2) f has a dense orbit, or 3) f is periodic then it embeds in a flow (see [7]). Sufficient conditions of embedding in topological flow for a homeomorphisms of a compact two-dimensional disk and of the plane one can find in review [35]. An analytical, ε -closed to the identity diffeomorphism $f : M^n \rightarrow M^n$ can be approximated with accuracy $e^{-\frac{\varepsilon}{\varepsilon}}$ by a diffeomorphism which embeds in an analytical flow, see [34].

Due to [27] the set of C^r -diffeomorphisms ($r \geq 1$) which embed in C^1 -flows is a subset of the first category in $Diff^r(M^n)$. As Morse-Smale diffeomorphisms are structurally stable (see [26], [28]) then for any manifold M^n there exists an open set (in $Diff^1(M^n)$) of Morse-Smale diffeomorphisms embeddable in topological flows. This set contains neighborhoods of time one maps of Morse-Smale flows without periodic trajectories (according to [30] such flows exist on an arbitrary smooth manifold).

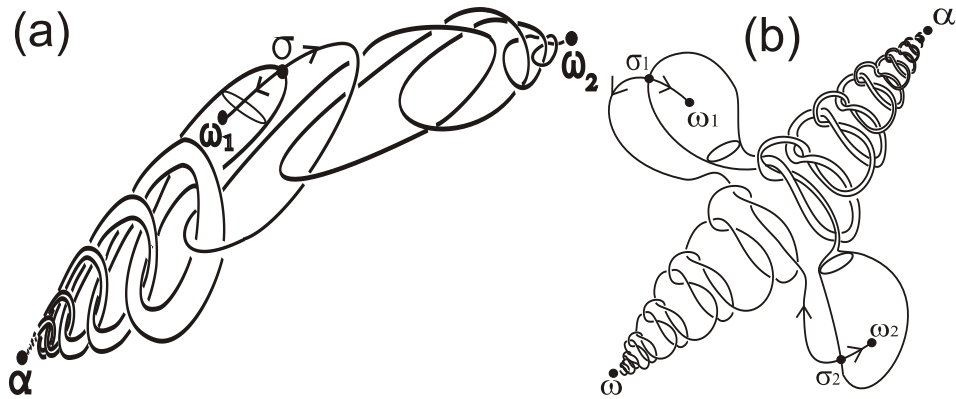


Figure 1: Phase portraits of Morse-Smale diffeomorphisms on S^3 which do not embed in topological flows

Recall that a diffeomorphism $f : M^n \rightarrow M^n$ is called a *Morse-Smale diffeomorphism* if it satisfies the following conditions:

- the non-wandering set Ω_f is finite and consists of hyperbolic periodic points;
- for any two points $p, q \in \Omega_f$ the intersection of the stable manifold W_p^s of the point p and the unstable manifold W_q^u of the point q is transversal¹.

In [26] J. Palis established the following necessary conditions of the embedding of a Morse-Smale diffeomorphism $f : M^n \rightarrow M^n$ into a topological flow (we call them *Palis conditions*):

- (1) the non-wandering set Ω_f coincides with the set of fixed points of f ;
- (2) the restriction of the diffeomorphism f to each invariant manifold of a fixed point $p \in \Omega_f$ preserves the orientation of the manifold;
- (3) if for two distinct saddle points $p, q \in \Omega_f$ the intersection $W_p^s \cap W_q^u$ is not empty then it contains no compact connected components.

According to [26] these conditions are not only necessary but also sufficient for the case $n = 2$. For the case $n = 3$ a possibility of wild embedding of closures of separatrices of saddles is another obstruction for Morse-Smale cascades to embed in topological flows (phase portraits of such diffeomorphisms are shown on the Figure 1). In [12] examples of such cascades are described and a criteria for embedding of Morse-Smale 3-diffeomorphisms in topological flows is provided. In the present paper we establish that the Palis conditions are sufficient for Morse-Smale diffeomorphisms on S^n , $n \geq 4$, such that for any distinct saddle points $p, q \in \Omega_f$ the intersection $W_p^s \cap W_q^u$ is empty.

Theorem 1. *Suppose that a Morse-Smale diffeomorphism $f : S^n \rightarrow S^n$, $n \geq 4$ satisfies the following conditions:*

- the non-wandering set Ω_f of the diffeomorphism f coincides with the set of its fixed points;*

¹Definitions of stable and unstable manifolds and of transversality are given in the section 4; see also the book [15] for references.

- ii) the restriction of f to each invariant manifold of a fixed point $p \in \Omega_f$ preserves the orientation of the manifold;
 - iii) the invariant manifolds of distinct saddle points of f do not intersect.
- Then f embeds into a topological flow.

Acknowledgments. Research is done with financial support of Russian Science Foundation (project 17-11-01041) apart the section 4.3, which is done in frame of the Basic Research Program of HSE in 2018.

2 Comments to Theorem 1

Due to [26] the conditions $i)$ and $ii)$ are necessary for embedding a Morse-Smale diffeomorphism into a flow. Our condition that the ambient manifold is the sphere S^n and the absence of heteroclinic intersections (condition $iii)$) are not necessary but violation of each of them allows to construct examples of Morse-Smale diffeomorphisms which do not embed in topological flows. Below we describe such examples.

In [23] V. Medvedev and E. Zhuzhoma constructed a Morse-Smale diffeomorphism $f_0 : M^4 \rightarrow M^4$ satisfying conditions $i) - iii)$ on a projective-like manifold M^4 (different from S^4) whose non-wandering set consists of exactly three fixed points: a source, a sink and a saddle. Invariant manifolds of the saddle are two-dimensional and the closure of each of them is a wild sphere (see [23], Theorem 4, item 2). Assume that f_0 embeds in a topological flow X_0^t . Then X_0^t is a topological flow whose the non-wandering set consists of three equilibrium points with locally hyperbolic behavior. According to [36, Theorem 3] the closures of the invariant manifolds of the saddles are locally flat spheres. That is a contradiction because the closures of the invariant manifolds of the saddle singularities of X_0^t and f_0 coincide. Thus, f_0 does not embed into a flow.

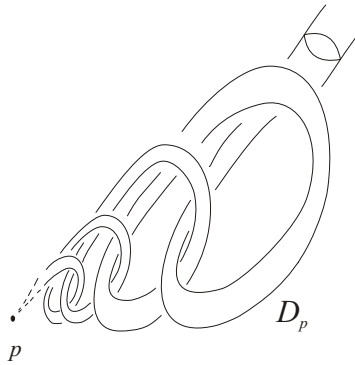


Figure 2: The disk $D_p \subset W_p^s$

In [24] T. Medvedev and O. Pochinka constructed an example of Morse-Smale diffeomorphism $f_1 : S^4 \rightarrow S^4$ satisfying to the conditions $i) - ii)$ of the Theorem 1. The non-wandering set of the diffeomorphism f_1 consists of two sources, two sinks and two saddles

p, q such that $\dim W_p^s = \dim W_q^u = 3$. The intersection $W_p^s \cap W_q^u$ is not empty and its closure in W_p^s is a wildly embedded open disk D_p (see Fig. 2). If $S^2 \subset W_p^s$ is a 2-sphere which bounds an open ball containing the point p then the intersection $S^2 \cap D_p$ contains at least three connected components. Assume that f_1 embeds into a topological flow X_1^t . Then due to [12] the restriction X_p^t of X_1^t to $W_p^s \setminus p$ is topologically conjugated by means of a homeomorphism $h : W_p^s \setminus p \rightarrow \mathbb{S}^2 \times \mathbb{R}$ to a shift flow $\chi^t(s, r) = (s, r + t)$, $(s, r) \in \mathbb{S}^2 \times \mathbb{R}$. Let $\Sigma = h^{-1}(\mathbb{S}^2 \times \{0\})$. Then every trajectory of the flow X_p^t intersects the sphere Σ at a unique point. Since the disk D_p is invariant with respect to the flow X_p^t the intersection $D_p \cap \Sigma$ consists of a unique connected component and that is a contradiction. Thus, f_1 does not embed into a flow.

3 The scheme of the proof of Theorem 1

The proof of Theorem 1 is based on the technique developed for classification of Morse-Smale diffeomorphisms on orientable manifolds in a series of papers [2], [3], [4], [9], [17], [18], [11],[13]. The idea of the proof consists of the following.

In section 4 we introduce a notion of Morse-Smale homeomorphism on a topological n -manifold and define the subclass $G(S^n)$ of such homeomorphisms satisfying to conditions similar to *i) – iii)* of Theorem 1.

Let $f \in G(S^n)$. In [13, Theorem 1.3] it is shown that the dimension of the invariant manifolds of the fixed points of f can be only one of $0, 1, n - 1$ or n . Denote by Ω_f^i the set of all fixed points of f whose unstable manifolds have dimension $i \in \{0, 1, n - 1, n\}$, and by m_f the number of all saddle points of f .

Represent the sphere S^n as the union of pairwise disjoint sets

$$A_f = \left(\bigcup_{\sigma \in \Omega_f^1} W_\sigma^u \right) \cup \Omega_f^0, \quad R_f = \left(\bigcup_{\sigma \in \Omega_f^{n-1}} W_\sigma^s \right) \cup \Omega_f^n, \quad V_f = S^n \setminus (A_f \cup R_f).$$

Similar to [16] one can prove that the sets A_f, R_f, V_f are connected, the set A_f is an attractor, R_f is a repeller² and V_f consists of wandering orbits of f moving from R_f to A_f .

Denote by $\widehat{V}_f = V_f/f$ the orbit space of the action of f on V_f and by $p_f : V_f \rightarrow \widehat{V}_f$ the natural projection. Let

$$\widehat{L}_f^s = \bigcup_{\sigma \in \Omega_f^1} p_f(W_\sigma^s \setminus \sigma), \quad \widehat{L}_f^u = \bigcup_{\sigma \in \Omega_f^{n-1}} p_f(W_\sigma^u \setminus \sigma).$$

Definition 3.1. *The collection $S_f = (\widehat{V}_f, \widehat{L}_f^s, \widehat{L}_f^u)$ is called the scheme of the homeomorphism $f \in G(S^n)$.*

Definition 3.2. *Schemes S_f and $S_{f'}$ of homeomorphisms $f, f' \in G(S^n)$ are called equivalent if there exists a homeomorphism $\widehat{\varphi} : \widehat{V}_f \rightarrow \widehat{V}_{f'}$ such that $\widehat{\varphi}(\widehat{L}_f^s) = \widehat{L}_{f'}^s$, and $\widehat{\varphi}(\widehat{L}_f^u) = \widehat{L}_{f'}^u$.*

The next statement follows from paper [13, Theorem 1.2] (in fact, Theorem 1.2 was proven for Morse-Smale diffeomorphisms but the smoothness plays no role in the proof).

²A set A is called an attractor of a homeomorphism $f : M^n \rightarrow M^n$ if there exists a closed neighborhood $U \subset M^n$ of the set A such that $f(U) \subset \text{int } U$ and $A = \bigcap_{n \geq 0} f^n(U)$. A set R is called a repeller of a homeomorphism f if it is an attractor for the homeomorphism f^{-1} .

Statement 3.1. *Homeomorphisms $f, f' \in G(S^n)$ are topologically equivalent if and only if their schemes $S_f, S_{f'}$ are equivalent.*

The possibility of embedding of $f \in G(S^n)$ into a topological flow follows from triviality of the scheme in the following sense.

Let a^t be the flow on the set $\mathbb{S}^{n-1} \times \mathbb{R}$ defined by $a^t(x, s) = (x, s + t)$, $x \in \mathbb{S}^{n-1}, s \in \mathbb{R}$ and let a be the time-one map of a^t . Let $\mathbb{Q}^n = \mathbb{S}^{n-1} \times \mathbb{S}^1$. Then the orbit space of the action a on $\mathbb{S}^{n-1} \times \mathbb{R}$ is \mathbb{Q}^n . Denote by $p_{\mathbb{Q}^n} : \mathbb{S}^{n-1} \times \mathbb{R} \rightarrow \mathbb{Q}^n$ the natural projection. Let $m \in \mathbb{N}$ and $c_1, \dots, c_m \subset \mathbb{S}^{n-1}$ be a collection of smooth pairwise disjoint $(n-2)$ -spheres. Let $Q_i^{n-1} = \bigcup_{t \in \mathbb{R}} a^t(c_i)$, $\mathbb{L}_m = \bigcup_{i=1}^m Q_i^{n-1}$ and $\widehat{\mathbb{L}}_m = p_{\mathbb{Q}^n}(\mathbb{L}_m)$.

Definition 3.3. *The scheme $S_f = (\widehat{V}_f, \widehat{L}_f^s, \widehat{L}_f^u)$ of a homeomorphism $f \in G(S^n)$ is called trivial if there exists a homeomorphism $\widehat{\psi} : \widehat{V}_f \rightarrow \mathbb{Q}^n$ such that $\widehat{\psi}(\widehat{L}_f^s \cup \widehat{L}_f^u) = \widehat{\mathbb{L}}_m$.*

In the section 5 we prove the following key lemma.

Lemma 3.1. *If $f \in G(S^n)$ then its scheme S_f is trivial.*

In the section 6 we construct a topological flow X_f^t whose time one map belongs to the class $G(S^n)$ and has the scheme equivalent to S_f . According to Statement 3.1 there exists a homeomorphism $h : S^n \rightarrow S^n$ such that $f = hX_f^1h^{-1}$. Then the homeomorphism f embeds into the topological flow $Y_f^t = hX_f^th^{-1}$.

4 Morse-Smale homeomorphisms

This section contains some definitions and statements which was introduced and proved in [14].

4.1 Basic definitions

Remind that a linear automorphism $L : \mathbb{R}^n \rightarrow \mathbb{R}^n$ is called hyperbolic if its matrix has no eigenvalues with absolute value equal one. In this case a space \mathbb{R}^n have a unique decomposition into the direct sum of L -invariant subsets E^s, E^u such that $\|L|_{E^s}\| < 1$ and $\|L^{-1}|_{E^u}\| < 1$ in some norm $\|\cdot\|$ (see, for example, Propositions 2.9, 2.10 of Chapter 2 in [25]).

According to Proposition 5.4 of the book [25] any hyperbolic automorphism L is topologically conjugated with a linear map of the following form:

$$a_{\lambda, \mu, \nu}(x_1, x_2, \dots, x_\lambda, x_{\lambda+1}, x_{\lambda+2}, \dots, x_n) = (2\mu x_1, 2x_2, \dots, 2x_\lambda, \frac{1}{2}^\nu x_{\lambda+1}, \frac{1}{2} x_{\lambda+2}, \dots, \frac{1}{2} x_n), \quad (1)$$

where $\lambda = \dim E^u \in \{0, 1, \dots, n\}$, $\mu = -1$ ($\mu = 1$) if the restriction $L|_{E^u}$ reverses (preserves) an orientation of E^u , and $\nu = -1$ ($\nu = 1$) if the restriction $L|_{E^s}$ reverses (preserves) an orientation of E^s .

Put $\mathbb{E}_\lambda^s = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_1 = x_2 = \dots = x_\lambda = 0\}$, $\mathbb{E}_\lambda^u = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_{\lambda+1} = x_{\lambda+2} = \dots = x_n = 0\}$ and denote by $P_x^s(P_y^u)$ a hyperplane that parallel to the hyperplane \mathbb{E}_λ^s (\mathbb{E}_λ^u) and contain a point $x \in \mathbb{E}_\lambda^u$ ($y \in \mathbb{E}_\lambda^s$). Unions $\mathcal{P}_\lambda^s = \{P_x^s\}_{x \in \mathbb{E}_\lambda^u}$, $\mathcal{P}_\lambda^u = \{P_y^u\}_{y \in \mathbb{E}_\lambda^s}$ form the $a_{\lambda, \mu, \nu}$ -invariant foliation.

Suppose that M^n is an n -dimensional topological manifold, $f : M^n \rightarrow M^n$ is a homeomorphism and p is a fixed point of the homeomorphism f . We will call the point p *topologically hyperbolic point of index λ_p* , if there exists its neighborhood $U_p \subset M^n$, numbers $\lambda_p \in \{0, 1, \dots, n\}$, $\mu_p, \nu_p \in \{+1, -1\}$, and a homeomorphism $h_p : U_p \rightarrow \mathbb{R}^n$ such that $h_p f|_{U_p} = a_{\lambda_p, \mu_p, \nu_p} h_p|_{U_p}$ when the left and right parts are defined. Call the sets $W_{p,loc}^s = h_p^{-1}(E^s)$, $W_{p,loc}^u = h_p^{-1}(E^u)$ *the local invariant manifolds* of the point p , and the sets $W_p^s = \bigcup_{i \in \mathbb{Z}} f^i(W_{p,loc}^s)$, $W_p^u = \bigcup_{i \in \mathbb{Z}} f^i(W_{p,loc}^u)$ *the stable and unstable invariant manifolds of the point p* .

It follows from the definition that $W_p^s = \{x \in M^n : \lim_{i \rightarrow +\infty} f^i(x) = p\}$, $W_p^u = \{x \in M^n : \lim_{i \rightarrow +\infty} f^{-i}(x) = p\}$ and $W_p^u \cap W_q^u = \emptyset$ ($W_p^s \cap W_q^s = \emptyset$) for any distinct hyperbolic points p, q . Moreover, there exists an injective continuous immersion $J : \mathbb{R}^{\lambda_p} \rightarrow M^n$ such that $W_p^u = J(\mathbb{R}^{\lambda_p})^3$.

A hyperbolic fixed point is called *the source (the sinks)* if its indice equals n (0), a hyperbolic fixed point p of index $0 < \lambda_p < n$ is called *the saddle point*.

A periodic point p of period m_p of a homeomorphism f is called *a topologically hyperbolic sink (source, saddle) periodic point* if it is the topologically hyperbolic (source, saddle) fixed point for the homeomorphism f^{m_p} . The stable and unstable manifolds of the periodic point p considered as the fixed point of the homeomorphism f^{m_p} are called the stable and unstable manifolds of the point p . Every connected component of the set $W_p^s \setminus p$ ($W_p^u \setminus p$) is called *the stable (the unstable) separatrix* and is denoted by l_p^s (l_p^u).

The linearizing homeomorphism $h_p : U_p \rightarrow \mathbb{R}^n$ induces a pair of transversal foliations $\mathcal{F}_p^s = h_p^{-1}(\mathcal{P}_{\lambda_p}^s)$, $\mathcal{F}_p^u = h_p^{-1}(\mathcal{P}_{\lambda_p}^u)$ on the set U_p . Every leaf of the foliation \mathcal{F}_p^s (\mathcal{F}_p^u) is an open disk of dimension λ_p ($n - \lambda_p$). For any point $x \in U_p$ denote by $F_{p,x}^s, F_{p,x}^u$ the leaf of the foliation $\mathcal{F}_p^s, \mathcal{F}_p^u$, correspondingly, containing the point x .

The invariant manifolds W_p^s and W_q^u of saddle periodic points p, q of a homeomorphism f intersect *consistently transversally* if one of the following conditions holds:

1. $W_p^s \cap W_q^u = \emptyset$;
2. $W_p^s \cap W_q^u \neq \emptyset$ and $F_{q,x}^s \subset W_p^s$; $F_{p,y}^u \subset W_q^u$ for any points $x \in W_p^s \cap U_q$, $y \in W_q^u \cap U_p$.

Definition 4.1. *A homeomorphism $f : M^n \rightarrow M^n$ is called the Morse-Smale homeomorphism if it satisfies the next conditions:*

1. *its non-wandering set Ω_f finite and any point $p \in \Omega_f$ is topologically hyperbolic;*
2. *invariant manifolds of any two saddle points $p, q \in \Omega_f$ intersect consistently transversally.*

4.2 Properties of Morse-Smale homeomorphisms

Statement 4.1. *Let $f : M^n \rightarrow M^n$ be a Morse-Smale homeomorphism. Then:*

1. $W_p^u \cap W_p^s = p$ for any saddle point $p \in \Omega_f$;
2. *for any saddle points $p, q, r \in \Omega_f$ the conditions $(W_p^s \setminus p) \cap (W_q^u \setminus q) \neq \emptyset$, $(W_q^s \setminus q) \cap (W_r^u \setminus r) \neq \emptyset$ imply $(W_p^s \setminus p) \cap (W_r^u \setminus r) \neq \emptyset$;*

³A map $J : \mathbb{R}^m \rightarrow M^n$ is called immersion if for any point $x \in \mathbb{R}^m$ there exists a neighborhood $U_x \in \mathbb{R}^m$ such that the restriction $J|_{U_x}$ of the map J on the set U_x is a homeomorphism.

3. there are no sequence of distinct saddle points $p_1, p_2, \dots, p_k \in \Omega_f$, $k > 1$, such that $(W_{p_i}^s \setminus p_i) \cap (W_{p_{i+1}}^u \setminus p_{i+1}) \neq \emptyset$ for $i \in \{1, \dots, k-1\}$ and $(W_{p_k}^s \setminus p_k) \cap (W_{p_1}^u \setminus p_1) \neq \emptyset$.

Statement 4.2. Let $f : M^n \rightarrow M^n$ be a Morse-Smale homeomorphism. Then:

- 1) $M^n = \bigcup_{p \in \Omega_f} W_p^u$;
- 2) for any point $p \in \Omega_f$ the manifold W_p^u is a topological submanifold of the manifold M^n ;
- 3) for any point $p \in \Omega_f$ and any connected component l_p^u of the set $W_p^u \setminus p$ the following equality holds: $cl l_p^u \setminus (l_p^u \cup p) = \bigcup_{q \in \Omega_f: W_q^s \cap l_p^u \neq \emptyset} W_q^u$ ⁴.

Corollary 4.1. If $f : M^n \rightarrow M^n$ is a Morse-Smale homeomorphism and $p \in \Omega_f$ is a saddle point such that $l_p^u \cap W_q^s = \emptyset$ for any saddle point $q \neq p$, then there exists a unique sink $\omega \in \Omega_f$ such that $cl l_p^u = l_p^u \cup p \cup \omega$ and $cl l_p^u$ is either a compact arc in case $\lambda_p = 1$ or a sphere of dimension λ_p in case $\lambda_p > 1$.

For an arbitrary point $q \in \Omega_f$ and $\delta \in \{u, s\}$ put $V_q^\delta = W_q^\delta \setminus q$ and denote by $\widehat{V}_q^\delta = V_q^\delta / f$ the orbit space of the action of the homeomorphism f on the set V_q^δ . The following statement is proved in the book [9] (Proposition 2.1.5).

Statement 4.3. The space \widehat{V}_q^u is homeomorphic to $\mathbb{S}^{\lambda_q-1} \times \mathbb{S}^1$ and the space \widehat{V}_q^s is homeomorphic to $\mathbb{S}^{n-\lambda_q-1} \times \mathbb{S}^1$.

Remark that $\mathbb{S}^0 \times \mathbb{S}^1$ means a union of two disjoint closed curves.

Proposition 4.1. Suppose $f : M^n \rightarrow M^n$ is a Morse-Smale homeomorphism, $n \geq 4$, and $\sigma \in \Omega_f$ is a saddle point of index $(n-1)$ such that $l_\sigma^u \cap W_q^s = \emptyset$ for any saddle point $q \neq p$. Then the sphere $cl l_\sigma^u$ is bicollared.

Proof: Let $\omega \in \Omega_f^0$ be a sink point such that $l_\sigma^u \subset W_\omega^s$. Due to Corollary 4.1 and the item 2 of Statement 4.2 the set $cl l_\sigma^u = l_\sigma^u \cup \omega$ is an $(n-1)$ -sphere which is locally flat embedded in M^n at all its points apart possibly one point ω . According to [5], [20] an $(n-1)$ -sphere in a manifold M^n of dimension $n \geq 4$ is either locally flat or have more than countable set of points of wildness. Therefore the sphere $cl l_\sigma^u$ is locally flat at point ω . According to [1] a locally flat sphere is bicollared. \diamond

By $G(S^n)$ we denoted a class of Morse-Smale homeomorphism on the sphere S^n such that any $f \in G(S^n)$ satisfy the following conditions:

- i) Ω_f consists of fixed points;
- ii) $W_p^s \cap W_q^u = \emptyset$ for any distinct saddle points $p, q \in \Omega_f$;
- iii) the restriction of a homeomorphism f on every invariant manifolds of an arbitrary fixed point $p \in \Omega_f$ preserves its orientation.

Proposition 4.2. If $f \in G(S^n)$, then any saddle fixed point has index 1 and $(n-1)$.

⁴Here $cl l_p^u$ means the closure of the set l_p^u .

Proof: Suppose that, on the contrary, there exists a point $\sigma \in \Omega_f$ of index $j \in (1, n-1)$. According to Corollary 4.1 the closures $cl W_\sigma^u, cl W_\sigma^s$ of the stable and unstable manifolds of the point σ are spheres of dimensions j and $n-j$ correspondingly. Due to item 1 of Statements 4.1, the spheres $S^j = cl W_\sigma^u, S^{n-j} = cl W_\sigma^s$ intersect at a single point σ . Therefore their intersection index equals either 1 or -1 (depending on the choice of orientations of the spheres S^j, S^{n-j} and S^n). Since homology groups $H_j(S^n), H_{n-j}(S^n)$ are trivial it follows that there is a sphere \tilde{S}^j homological to the sphere S^j and having the empty intersection with the sphere S^{n-j} . Then the intersection number of the spheres S^j, S^{n-j} must be equal to zero as the intersection number is the homology invariant (see, for example, [32], § 69). This contradiction proves the statement. \diamond

4.3 Canonical manifolds connected with saddle fixed points of a homeomorphism $f \in G(S^n)$

It follows from Statement 4.2 that for each saddle point of a homeomorphism $f \in G(S^n)$ there exists a neighborhood where f is topologically conjugated either with the map $a_1 : \mathbb{R}^n \rightarrow \mathbb{R}^n$ defined by $a_1(x_1, x_2, \dots, x_n) = (2x_1, \frac{1}{2}x_2, \dots, \frac{1}{2}x_n)$ or with the map a_1^{-1} . In this section we describe canonical manifolds defined by the action of the map a_1 and prove Proposition 4.3 allowing to define similar canonical manifolds for the homeomorphism $f \in G(S^n)$.

Put $\mathbb{U}_\tau = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_1^2(x_2^2 + \dots + x_n^2) \leq \tau^2\}$, $\tau \in (0, 1]$, $\mathbb{U} = \mathbb{U}_1$; $\mathbb{U}_0 = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_1 = 0\}$, $\mathbb{N}^s = \mathbb{U} \setminus O x_1$, $\mathbb{N}^u = \mathbb{U} \setminus \mathbb{U}_0$, $\tilde{\mathbb{N}}^s = \mathbb{N}^s / a_1$, $\tilde{\mathbb{N}}^u = \mathbb{N}^u / a_1$. Denote by $p_s : \mathbb{N}^s \rightarrow \tilde{\mathbb{N}}^s$, $p_u : \mathbb{N}^u \rightarrow \tilde{\mathbb{N}}^u$ the natural projections and put $\tilde{\mathbb{V}}^s = p_s(\mathbb{U}_0)$.

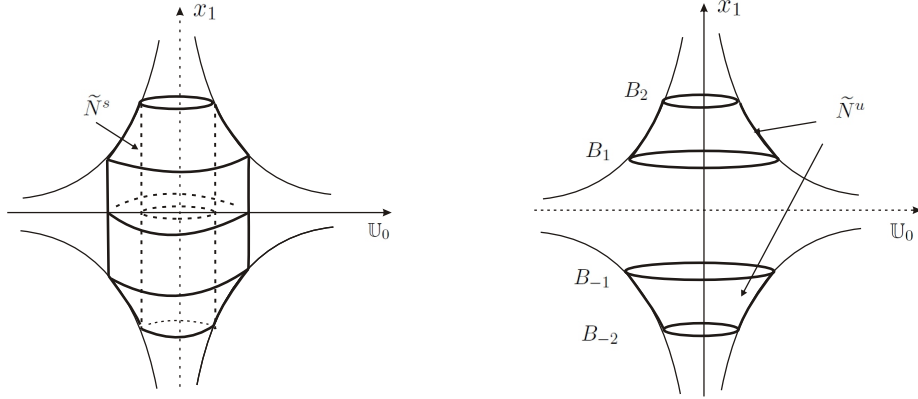


Figure 3: Fundamental domains $\tilde{\mathbb{N}}^s, \tilde{\mathbb{N}}^u$ of the action of the homeomorphism a_1 on the sets $\mathbb{N}^s, \mathbb{N}^u$

The following statement is proved in [11] (Propositions 2.2, 2.3).

Statement 4.4. *The space $\tilde{\mathbb{N}}^s$ is homeomorphic to the direct product $\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [-1, 1]$, the space $\tilde{\mathbb{N}}^u$ consists of two connected components each of which is homeomorphic to the direct product $\mathbb{B}^{n-1} \times \mathbb{S}^1$.*

Recall that an *annulus* of dimension n is a manifold homeomorphic to $\mathbb{S}^{n-1} \times [0, 1]$.

On the Figure 3 we present the neighborhoods $\mathbb{N}^s, \mathbb{N}^u$ and the fundamental domains $\tilde{N}^s = \{(x_1, \dots, x_n) \in \mathbb{N}^s | \frac{1}{4} \leq x_2^2 + \dots + x_n^2 \leq 1\}$, $\tilde{N}^u = \{(x_1, \dots, x_n) \in \mathbb{N}^u | |x_1| \in [1, 2]\}$ of the action of the diffeomorphism a_1 ⁵. Put $\mathcal{C} = \{(x_1, \dots, x_n) \in \mathbb{R}^n | \frac{1}{4} \leq x_2^2 + \dots + x_n^2 \leq 1\}$. The set \mathbb{N}^s is the union of the hyperplanes $\mathcal{L}_t = \{(x_1, \dots, x_n) \in \mathbb{N}^s | x_1^2(x_2^2 + \dots + x_n^2) = t^2\}$, $t \in [-1, 1]$. Then the fundamental domain \tilde{N}^s is the union of the pairs of annuli $\mathcal{K}_t = \mathcal{L}_t \cap \mathcal{C}$, $t \in [-1, 1]$ and the space $\hat{\mathbb{N}}^s$ can be obtained from \tilde{N}^s by gluing the connected components of the boundary of each annulus by means of the diffeomorphism a_1 . The set \tilde{N}^u consist of two connected components each of which is homeomorphic to the direct product $\mathbb{B}^{n-1} \times [0, 1]$. The space $\hat{\mathbb{N}}^u$ is obtained from \tilde{N}^u by gluing the disk $B_1 = \{(x_1, \dots, x_n) \in \mathbb{N}^u | x_1 = 1\}$ to the disk $B_2 = \{(x_1, \dots, x_n) \in \mathbb{N}^u | x_1 = 2\}$ and the disk $B_{-1} = \{(x_1, \dots, x_n) \in \mathbb{N}^u | x_1 = -1\}$ to the disk $B_{-2} = \{(x_1, \dots, x_n) \in \mathbb{N}^u | x_1 = -2\}$ by means of the diffeomorphism a_1 .

Proposition 4.3. *Suppose $f \in G(S^n)$; then there exists a set of pair-wise disjoint neighborhoods $\{N_\sigma\}_{\sigma \in \Omega_f^1 \cup \Omega_f^{n-1}}$ such that for any neighborhood N_σ there exists a homeomorphism $\chi_\sigma : N_\sigma \rightarrow \mathbb{U}$ such that $\chi_\sigma f|_{N_\sigma} = a_1 \chi_\sigma|_{N_\sigma}$ whenever $\lambda_\sigma = 1$ and $\chi_\sigma f|_{N_\sigma} = a_1^{-1} \chi_\sigma|_{N_\sigma}$ whenever $\lambda_\sigma = n - 1$.*

Proof: Put $V_{\Omega_f^i}^\delta = \bigcup_{q \in \Omega_f^i} V_q^\delta$, $\hat{V}_{\Omega_f^i}^\delta = \bigcup_{q \in \Omega_f^i} \hat{V}_q^\delta$, $i \in \{0, 1, n-1, n\}$, $\delta \in \{s, u\}$ and denote by $p_{\Omega_f^i}^\delta : V_{\Omega_f^i}^\delta \rightarrow \hat{V}_{\Omega_f^i}^\delta$ the natural projection such that $p_{\Omega_f^i}^\delta|_{V_q^\delta} = p_q^\delta|_{V_q^\delta}$ for any point $q \in \Omega_f^i$.

Put $\Sigma_f = \Omega_f^1 \cup \Omega_f^{n-1}$, $\hat{L}_{\Sigma_f}^u = p_{\Omega_f^0}^s(V_{\Omega_f^1}^u \cup V_{\Omega_f^{n-1}}^u)$.

The set $\hat{L}_{\Sigma_f}^u$ consists of finite number of compact topological submanifolds. Then there is a set of pair-wise disjoint compact neighborhoods $\{\hat{K}_\sigma^u, \sigma \in \Sigma_f\}$ of these manifolds in $\hat{V}_{\Omega_f^0}^s$. For every point $\sigma \in \Sigma_f$ put $K_\sigma^u = (p_{\Omega_f^0}^s)^{-1}(\hat{K}_\sigma^u)$ and $\tilde{N}_\sigma = K_\sigma^u \cup W_\sigma^s$.

Let $U_\sigma \subset \tilde{N}_\sigma$ be a neighborhood of the point σ such that a homeomorphism $g_\sigma : U_\sigma \rightarrow \mathbb{R}^n$ satisfying the condition $g_\sigma f|_{U_\sigma} = a_{\lambda_\sigma} g_\sigma|_{U_\sigma}$ is defined.

Put $u_\tau = \{(x_1, \dots, x_n) \in \mathbb{U}_\tau | x_2^2 + \dots + x_n^2 \leq 1, |x_1| \leq 2\tau\}$, $D_\tau^u = \{(x_1, \dots, x_n) \in \mathbb{U}_\tau | \tau < |x_1| \leq 2\tau\}$, $D_\tau^s = \{(x_1, \dots, x_n) \in \mathbb{U}_\tau | \frac{1}{4} \leq x_2^2 + \dots + x_n^2 \leq 1\}$, $\tilde{u}_\tau = g_\sigma^{-1}(u_\tau)$, $\tilde{D}_\tau^\delta = g_\sigma^{-1}(D_\tau^\delta)$, $\delta \in \{s, u\}$, and $N_\tau = \bigcup_{i \in \mathbb{Z}} f^i(\tilde{u}_\tau)$.

Let us show that there is a number $\tau_1 > 0$ such that for any $i \in \mathbb{N}$ the intersection $f^i(\tilde{D}_{\tau_1}^u) \cap \tilde{u}_{\tau_1}$ is empty. Suppose $\sigma \in \Omega_f^{n-1}$ (the argument for the case $\sigma \in \Omega_f^1$ is similar). By the Statement 4.2, the set $\bigcup_{i \in \mathbb{N}} f^i(\tilde{D}_\tau^u)$ lies in the stable manifold of a unique sink point ω . Since the homeomorphism f is locally conjugated with the linear compression a_0 in a neighborhood of the point ω , we have that there exists a ball $B^n \subset W_\omega^s \setminus U_\sigma$ such that $\omega \subset B^n$ and $f(B^n) \subset \text{int } B^n$. Since \tilde{D}_τ^u is compact, there is $i^* > 0$ such that $f^i(\tilde{D}_\tau^u) \cap U_\sigma \subset B^n$ for all $i > i^*$. Hence the set of numbers i_j such that $f^{i_j}(\tilde{D}_\tau^u) \cap \tilde{u}_\tau \neq \emptyset$ is finite. Then one can choose $\tau_1 \in (0, \tau)$ such that $\tilde{u}_{\tau_1} \cap f^i(\tilde{D}_{\tau_1}^u) = \emptyset$ and therefore $\tilde{u}_{\tau_1} \cap f^i(\tilde{D}_{\tau_1}^u) = \emptyset$ for any $i \in \mathbb{N}$. Similarly one can show that there exists a number $\tau_2 \in (0, \tau_1]$ such that for any $i \in \mathbb{N}$ the intersection of $f^{-i}(\tilde{D}_{\tau_2}^s) \cap \tilde{u}_{\tau_2}$ is empty.

⁵A fundamental domain of the action of a group G on a set X is a closed set $D_G \subset X$ containing a subset \tilde{D}_G with the following properties: 1) $cl \tilde{D}_G = D_G$; 2) $g(\tilde{D}_G) \cap \tilde{D}_G = \emptyset$ for any $g \in G$ distinct from the neutral element; 3) $\bigcup_{g \in G} g(\tilde{D}_G) = X$.

Suppose $\lambda_\sigma = 1$, put $N_\sigma = \bigcup_{i \in \mathbb{Z}} f^i(\tilde{u}_{\tau_2})$, and define a homeomorphism $\chi_\sigma^* : N_\sigma \rightarrow U_{\tau_2}$ by the following: $\chi_\sigma^*(x) = g_\sigma(x)$ whenever $x \in \tilde{u}_{\tau_2}$, and $\chi_\sigma^*(x) = a_{\lambda_\sigma}^{-k}(g_\sigma(f^k(x)))$ whenever $x \in N_\sigma \setminus (\tilde{u}_{\tau_2})$, where $k \in \mathbb{Z}$ is such that $f^k(x) \in \tilde{u}_{\tau_2}$. The homeomorphism χ_σ^* conjugates the homeomorphism $f|_{N_\sigma}$ with the linear diffeomorphism $a_1|_{U_{\tau_2}}$. Since the homeomorphism $a_1|_{U_{\tau_2}}$ is topologically conjugated with $a_1|_{\mathbb{U}}$ by means of the diffeomorphism $g(x_1, \dots, x_n) = \left(\frac{x_1}{\sqrt{\tau_2}}, \dots, \frac{x_n}{\sqrt{\tau_2}}\right)$, we see that the superposition $\chi_\sigma = g\chi_\sigma^* : N_\sigma \rightarrow \mathbb{U}$ topologically conjugates $f|_{N_\sigma}$ with $a_1|_{\mathbb{U}}$. A homeomorphism χ_σ for the case $\lambda_\sigma = n - 1$ can be constructed in the same way. ◇

Put $N_\sigma^u = N_\sigma \setminus W_\sigma^s$, $N_{\tau,\sigma} = \chi_\sigma^{-1}(\mathbb{U}_\tau)$, $N_\sigma^s = N_\sigma \setminus W_\sigma^u$, $\widehat{N}_\sigma^s = N_\sigma^s/f$, $\widehat{N}_\sigma^u = N_\sigma^u/f$.

5 Triviality of the scheme of the homeomorphism $f \in G(S^n)$

This section is devoted to the proof of Lemma 3.1. In subsections 5.1-5.3 we establish some axillary results.

5.1 Introduction results on the embedding of closed curves and their tubular neighborhoods in a manifold M^n

Further we denote by M^n a topological manifold possibly with non-empty boundary.

Recall that a manifold $N^k \subset M^n$ of dimension k without boundary is *locally flat in a point* $x \in N^k$ if there exists a neighborhood $U(x) \subset M^n$ of the point x and a homeomorphism $\varphi : U(x) \rightarrow \mathbb{R}^n$ such that $\varphi(N^k \cap U(x)) = \mathbb{R}^k$, where $\mathbb{R}^k = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_{k+1} = x_{k+2} = \dots = x_n = 0\}$.

A manifold N^k is *locally flat* in M^n or *the submanifold* of the manifold M^n if it is locally flat at each its point.

If the condition of local flatness fails in a point $x \in N^k$ then the manifold N^k is called *wild* and the point x is called *the point of wildness*.

A topological space X is called *m-connected* (for $m > 0$) if it is non-empty, path-connected and its first m homotopy groups $\pi_i(X)$, $i \in \{1, \dots, m\}$ are trivial. The requirements of being non-empty and path-connected can be interpreted as (-1)-connected and 0-connected correspondingly.

A topological space P generated by points of a simplicial complex K with the topology induced from \mathbb{R}^n is called *the polyhedron*. The complex K is called *the partition* or *the triangulation* of the polyhedron P .

A map $h : P \rightarrow Q$ of polyhedra is called *piece-wise linear* if there exists partitions K, L of polyhedra P, Q correspondingly such that h move each simplex of the complex K into a simplex of the complex L (see for example [29]).

A polyhedron P is called *the piece-wise linear manifold* of dimension n with boundary if it is a topological manifold with boundary and for any point $x \in \text{int } P$ ($y \in \partial P$) there is a neighborhood U_x (U_y) and a piece-wise linear homeomorphism $h_x : U_x \rightarrow \mathbb{R}^n$ ($h_y : U_y \rightarrow \mathbb{R}_+^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_1 \geq 0\}$).

The following important statement follows from Theorem 4 of [19].

Statement 5.1. *Suppose that N^k, M^n are compact piece-wise linear manifolds of dimension k, n correspondingly, N^k is the manifold without boundary, M^n possibly has a non-empty boundary, $\tilde{e}, e : N^k \rightarrow \text{int } M^n$ are homotopic piece-wise linear embeddings, and the following conditions hold:*

1. $n - k \geq 3$;
2. N^k is $(2k - n + 1)$ -connected;
3. M^n is $(2k - n + 2)$ -connected.

Then there exists a family of piece-wise linear homeomorphisms $h_t : M^n \rightarrow M^n$, $t \in [0, 1]$, such that $h_0 = \text{id}$, $h_1\tilde{e} = e$, $h_t|_{\partial M^n} = \text{id}$ for any $t \in [0, 1]$.

We will say that a topological submanifold $N^k \subset M^n$ of the manifold M^n is an *essential* if a homomorphism $e_{\gamma_*} : \pi_1(N^k) \rightarrow \pi_1(M^n)$ induced by an embedding $e_{N^k} : N^k \rightarrow M^n$ is the isomorphism. We will call an essential manifold β homeomorphic to the circle \mathbb{S}^1 the *essential knot*.

Let $\beta \in M^n$ be an essential knot and $h : \mathbb{B}^{n-1} \times \mathbb{S}^1 \rightarrow M^n$ be a topological embedding such that $h(\{O\} \times \mathbb{S}^1) = \beta$. Call the image $N_\beta = h(\mathbb{B}^{n-1} \times \mathbb{S}^1)$ the *tubular neighborhood* of the knot β .

Proposition 5.1. *Suppose that \mathbb{P}^{n-1} is either \mathbb{S}^{n-1} or \mathbb{B}^{n-1} , $\beta_1, \dots, \beta_k \subset \text{int } \mathbb{P}^{n-1} \times \mathbb{S}^1$ are essential knots and $x_1, \dots, x_k \subset \text{int } \mathbb{P}^{n-1}$ are arbitrary points. Then there is a homeomorphism $h : \mathbb{P}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$ such that $h(\bigcup_{i=1}^k \beta_i) = \bigcup_{i=1}^k \{x_i\} \times \mathbb{S}^1$ and $h|_{\partial \mathbb{P}^{n-1} \times \mathbb{S}^1} = \text{id}$.*

Proof: Put $b_i = \{x_i\} \times \mathbb{S}^1$, $i \in \{1, \dots, k\}$. Choose pair-wise disjoint neighborhoods U_1, \dots, U_k of knots β_1, \dots, β_k in $\text{int } \mathbb{P}^{n-1} \times \mathbb{S}^1$. It follows from Theorem 1.1 of the paper [10] that there exists a homeomorphism $g : \mathbb{P}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$ that is identity outside the set $\bigcup_{i=1}^k U_i$ and such that for any $i \in \{1, \dots, k\}$ the set $g(\beta_i)$ is a subpolyhedron.

By assumption, piece-wise linear embeddings $\tilde{e} : \mathbb{S}^1 \times \mathbb{Z}_k \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$, $e : \mathbb{S}^1 \times \mathbb{Z}_k \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$ such that $\tilde{e}(\mathbb{S}^1 \times \mathbb{Z}_k) = \bigcup_{i=1}^k g(\beta_i)$, $e(\mathbb{S}^1 \times \mathbb{Z}_k) = \bigcup_{i=1}^k b_i$ are homotopic. By Statement 5.1, there exists a family of piece-wise linear homeomorphisms $h_t : \mathbb{P}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$, $t \in [0, 1]$, such that $h_0 = \text{id}$, $h_1\tilde{e} = e$, $h_t|_{\partial \mathbb{P}^{n-1} \times \mathbb{S}^1} = \text{id}$ for any $t \in [0, 1]$. Then h_1 is the desired homeomorphism. \diamond

The following Statement 5.2 is proved in the paper [11] (see Lemma 2.1).

Statement 5.2. *Let $h : \mathbb{B}^{n-1} \times \mathbb{S}^1 \rightarrow \text{int } \mathbb{B}^{n-1} \times \mathbb{S}^1$ be a topological embedding such that $h(\{O\} \times \mathbb{S}^1) = \{O\} \times \mathbb{S}^1$. Then a manifold $\mathbb{B}^{n-1} \times \mathbb{S}^1 \setminus \text{int } h(\mathbb{B}^{n-1} \times \mathbb{S}^1)$ is homeomorphic to the direct product $\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1]$.*

Proposition 5.2. *Suppose that Y is a topological manifold with boundary, X is a closed component of its boundary, Y_1 is a manifold homeomorphic to $X \times [0, 1]$, and $Y \cap Y_1 = X$. Then a manifold $Y \cup Y_1$ is homeomorphic to Y . Moreover, if the manifold Y is homeomorphic to the direct product $X \times [0, 1]$ then there exists a homeomorphism $h : X \times [0, 1] \rightarrow Y \cup Y_1$ such that $h(X \times \{\frac{1}{2}\}) = X$.*

Proof: By [1] (Theorem 2), there exists a topological embedding $h_0 : X \times [0, 1] \rightarrow Y$ such that $h_0(X \times \{1\}) = X$. Put $Y_0 = h_0(X \times [0, 1])$. Let $h_1 : X \times [0, 1] \rightarrow Y_1$ be a homeomorphism such that $h_1(X \times \{0\}) = X = h_0(X \times \{1\})$.

Define homeomorphisms $g : X \times [0, 1] \rightarrow X \times [0, 1]$, $\tilde{h}_1 : X \times [0, 1] \rightarrow Y_1$, $h : X \times [0, 1] \rightarrow Y_0 \cup Y_1$ by $g(x, t) = (h_1^{-1}(h_0(x, 1)), t)$, $\tilde{h}_1 = h_1 g$,

$$h(x, t) = \begin{cases} h_0(x, 2t), & t \in [0, \frac{1}{2}); \\ \tilde{h}_1(x, 2t - 1), & t \in (\frac{1}{2}; 1], \end{cases}$$

and define a homeomorphism $H : Y \cup Y_1 \rightarrow Y$ by

$$H(x) = \begin{cases} h_0(h^{-1}(x)), & x \in Y_0 \cup Y_1; \\ x, & x \in Y \setminus Y_0. \end{cases}$$

To prove the second item of the statement it is enough to put $Y = Y_0$. Then the homeomorphism $h : X \times [0, 1] \rightarrow Y \cup Y_1$ defined above is the desired one. \diamond

Proposition 5.3. *Suppose that \mathbb{P}^{n-1} is either the ball \mathbb{B}^{n-1} or the sphere \mathbb{S}^{n-1} , $\beta_1, \dots, \beta_k \subset \text{int } \mathbb{P}^{n-1} \times \mathbb{S}^1$ are essential knots, $N_{\beta_1}, \dots, N_{\beta_k} \subset \mathbb{P}^{n-1} \times \mathbb{S}^1$ are their pair-wise disjoint neighborhoods, $D_1^{n-1}, \dots, D_k^{n-1} \subset \mathbb{P}^{n-1}$ are pair-wise disjoint disks, and x_1, \dots, x_k are inner points of the disks $D_1^{n-1}, \dots, D_k^{n-1}$ correspondingly. Then there exist a homeomorphism $h : \mathbb{P}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$ such that $h(\beta_i) = \{x_i\} \times \mathbb{S}^1$, $h(N_{\beta_i}) = D_i^{n-1} \times \mathbb{S}^1$, $i \in \{1, \dots, k\}$ and $h|_{\partial \mathbb{P}^{n-1} \times \mathbb{S}^1} = \text{id}$.*

Proof: By Proposition 5.1, there exists a homeomorphism $h_0 : \mathbb{P}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$ such that $h_0(\beta_i) = \{x_i\} \times \mathbb{S}^1$, $h_0|_{\partial \mathbb{P}^{n-1} \times \mathbb{S}^1} = \text{id}$. Put $\tilde{N}_i = h_0(N_{\beta_i})$. By [1], there exist topological embeddings $e_i : \mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1] \rightarrow \text{int } \mathbb{P}^{n-1} \times \mathbb{S}^1$ such that $e_i(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times \{1\}) = \partial \tilde{N}_{\beta_i}$, $e_i(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1]) \cap e_j(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1]) = \emptyset$ for $i \neq j, i, j \in \{1, \dots, k\}$. Put $U_i = e_i(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1]) \cup \tilde{N}_i$.

Suppose that $D_{0,1}^{n-1}, \dots, D_{0,k}^{n-1}, D_{1,1}^{n-1}, \dots, D_{1,k}^{n-1} \subset \mathbb{P}^{n-1}$ are disks such that $x_i \in \text{int } D_{j,i}^{n-1}$, $D_{j,i}^{n-1} \subset \text{int } D_i^{n-1}$, $j \in \{0, 1\}$, $D_{0,i}^{n-1} \subset \text{int } D_{1,i}^{n-1}$, and $D_{1,i}^{n-1} \times \mathbb{S}^1 \subset \text{int } \tilde{N}_i$.

By Proposition 5.2, every set $\tilde{N}_i \setminus (\text{int } D_{1,i}^{n-1} \times \mathbb{S}^1)$, $(D_{1,i}^{n-1} \setminus \text{int } D_{0,1}^{n-1}) \times \mathbb{S}^1$ is homeomorphic to the direct product $\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1]$. By Proposition 5.2, there exists a homeomorphism $g_i : \mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1] \rightarrow U_i \setminus \text{int } D_{0,i}^{n-1} \times \mathbb{S}^1$ such that $g_i(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times \{t_1\}) = \partial \tilde{N}_i$, $g_i(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times \{t_2\}) = \partial D_{1,i}^{n-1} \times \mathbb{S}^1$ for some $t_1, t_2 \subset (0, 1)$. Let $\xi : [0, 1] \rightarrow [0, 1]$ be a homeomorphism that is identity on the ends of the interval $[0, 1]$ and such that $\xi(t_1) = t_2$. Define a homeomorphism $\tilde{g}_i : \mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1] \rightarrow \mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0, 1]$ by $\tilde{g}_i(x, t) = (x, \xi(t))$.

Define a homeomorphism $h_i : \mathbb{P}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$ by

$$h_i(x) = \begin{cases} g_i(\tilde{g}_i(g_i^{-1}(x))), & x \in U_i \setminus \text{int } D_{0,i}^{n-1} \times \mathbb{S}^1; \\ x, & x \in (\mathbb{P}^{n-1} \times \mathbb{S}^1 \setminus U_i). \end{cases}$$

The superposition $\eta = h_k \cdots h_1 h_0$ maps every knot β_i into the knot $\{x_i\} \times \mathbb{S}^1$, the neighborhood N_{β_i} into the set $D_{1,i}^{n-1} \times \mathbb{S}^1$, and keeps the set $\partial \mathbb{P}^{n-1} \times \mathbb{S}^1$ fixed. Construct a homeomorphism $\Theta : \mathbb{P}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{P}^{n-1} \times \mathbb{S}^1$ that be identity on the set $\partial \mathbb{P}^{n-1} \times \mathbb{S}^1$ and on the knots $\{x_1\} \times \mathbb{S}^1, \dots, \{x_k\} \times \mathbb{S}^1$ and move the set $D_{1,i}^{n-1} \times \mathbb{S}^1$ into the set $D_i^{n-1} \times \mathbb{S}^1$ for

every $i \in \{1, \dots, k\}$. It follows from the Annulus Theorem⁶ that the set $D_i^{n-1} \setminus \text{int } D_{1,i}^{n-1}$ is homeomorphic to the annulus $\mathbb{S}^{n-2} \times [0, 1]$. Then apply the construction similar to one described above to define a homeomorphism $\theta : \mathbb{P}^{n-1} \rightarrow \mathbb{P}^{n-1}$ such that $\theta(x_i) = x_i$, $\theta(D_i^{n-1}) = D_{1,i}^{n-1}$, $\theta|_{\partial \mathbb{P}^{n-1}} = \text{id}$. Put $\Theta(x, t) = (\theta^{-1}(x), t)$, $x \in \mathbb{P}^{n-1}$, $t \in \mathbb{S}^1$. Then $h = \Theta\eta$ is the desired homeomorphism. \diamond

Corollary 5.1. *If $N \subset \mathbb{S}^{n-1} \times \mathbb{S}^1$ is a tubular neighborhood of an essential knot then the manifold $(\mathbb{S}^{n-1} \times \mathbb{S}^1) \setminus \text{int } N$ is homeomorphic to the direct product $\mathbb{B}^{n-1} \times \mathbb{S}^1$.*

5.2 A surgery of the manifold $\mathbb{S}^{n-1} \times \mathbb{S}^1$ along an essential submanifold homeomorphic to $\mathbb{S}^{n-2} \times \mathbb{S}^1$

Recall that we put $\mathbb{Q}^n = \mathbb{S}^{n-1} \times \mathbb{S}^1$. Suppose that $N \subset \mathbb{Q}^n$ is an essential submanifold homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$, $T = \partial N$, and $e_T : \mathbb{S}^{n-2} \times \mathbb{S}^1 \times [-1; 1] \rightarrow \mathbb{Q}^n$ is a topological embedding such that $e_T(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times \{0\}) = T$. Put $K = e_T(\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [-1; 1])$ and denote by N_+, N_- connected components of the set $\mathbb{Q}^n \setminus \text{int } K$. It follows from Propositions 5.3, 5.2 that the manifolds N_+, N_- are homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$. Let N'_+, N'_- manifolds homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$. Denote by $\psi_\delta : \partial N_\delta \rightarrow \partial N'_\delta$ an arbitrary homeomorphism reversing the natural orientation, by Q_δ a manifold obtained by gluing the manifolds N_δ and N'_δ by means of homeomorphism ψ_δ , and by $\pi_\delta : (N_\delta \cup N'_\delta) \rightarrow Q_\delta$ the natural projection, $\delta \in \{+, -\}$.

We will say that the manifolds Q_+, Q_- are obtained from \mathbb{Q}^n by the surgery along the submanifold T .

Note that $\mathbb{S}^{n-2} \times \mathbb{S}^1$ is the boundary of $\mathbb{B}^{n-1} \times \mathbb{S}^1$. By [22] (Theorem 2), the following statement holds.

Statement 5.3. *Let $\psi : \mathbb{S}^{n-2} \times \mathbb{S}^1 \rightarrow \mathbb{S}^{n-2} \times \mathbb{S}^1$ be an arbitrary homeomorphism. Then there exists a homeomorphism $\Psi : \mathbb{B}^{n-1} \times \mathbb{S}^1 \rightarrow \mathbb{B}^{n-1} \times \mathbb{S}^1$ such that $\Psi|_{\mathbb{S}^{n-2} \times \mathbb{S}^1} = \psi|_{\mathbb{S}^{n-2} \times \mathbb{S}^1}$.*

Proposition 5.4. *The manifolds Q_+, Q_- are homeomorphic to \mathbb{Q}^n .*

Proof: Let $D^{n-1} \subset \mathbb{S}^{n-1}$ be an arbitrary disk, $\mathbb{N}_\delta = D^{n-1} \times \mathbb{S}^1$ and $h_\delta : \pi_\delta(N_\delta) \rightarrow \mathbb{N}_\delta$ be an arbitrary homeomorphism. Put $\tilde{\psi}_\delta = h_\delta \pi_\delta \psi_\delta \pi_\delta^{-1} h_\delta^{-1}|_{\partial \mathbb{N}_\delta}$. Due to Proposition 5.3 a homeomorphism $\tilde{\psi}_\delta$ can extend up to a homeomorphism $h'_\delta : \pi_\delta(N'_\delta) \rightarrow \mathbb{Q}^n \setminus \text{int } \mathbb{N}_\delta$. Then a map $H_\delta : Q_\delta \rightarrow \mathbb{Q}^n$ defined by $H_\delta(x) = h_\delta(x)$ whenever $x \in \pi_\delta(N_\delta)$ and $H_\delta(x) = h'_\delta(x)$ whenever $x \in \pi_\delta(N'_\delta)$ is the desired homeomorphism. \diamond

5.3 A surgery of manifolds homeomorphic to $\mathbb{S}^{n-1} \times \mathbb{S}^1$ along essential knots

Let Q_1^n, \dots, Q_{k+1}^n be manifolds homeomorphic to \mathbb{Q}^n . Denote by $\beta_1, \dots, \beta_{2k} \subset \bigcup_{i=1}^{k+1} Q_i^n$ essential knots such that for any $j \in \{1, \dots, k\}$ knots β_{2j-1}, β_{2j} belongs to distinct manifolds

⁶The Annulus Theorem states that the closure of an open domain on the sphere S^{n+1} bounded by two disjoint locally flat spheres S_1^n, S_2^n is homeomorphic to the annulus $\mathbb{S}^n \times [0, 1]$. In dimension 2 it was proved by Rado in 1924, in dimension 3 – by Moise in 1952, in dimension 4 – by Quinn in 1982, and in dimension 5 and greater – by Kirby in 1969.

from the union $\bigcup_{i=1}^{k+1} Q_i^n$ and every manifold Q_i^n contains at least one knot from the set $\beta_1, \dots, \beta_{2k}$. Let $N_{\beta_1}, \dots, N_{\beta_{2k}}$ be tubular neighborhoods of the knots $\beta_1, \dots, \beta_{2k}$ correspondingly.

Let K_1, \dots, K_k be manifolds homeomorphic to the direct product $\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [-1; 1]$. For every $j \in \{1, \dots, k\}$ denote by $T_j \subset K_j$ a manifold homeomorphic to $\mathbb{S}^{n-2} \times \mathbb{S}^1$ that cuts K_j into two connected components whose closures are homeomorphic to $\mathbb{S}^{n-2} \times \mathbb{S}^1 \times [0; 1]$, and by $\psi_j : \partial N_{2j-1} \cup \partial N_{2j} \rightarrow \partial K_j$ an arbitrary reversing the natural orientation homeomorphism.

Glue manifolds $\tilde{Q} = \bigcup_{i=1}^{k+1} Q_i^n \setminus \bigcup_{\nu=1}^{2k} \text{int } N_\nu$ and $K = \bigcup_{j=1}^k K_j$ by means of the homeomor-

phisms ψ_1, \dots, ψ_k , denote by Q the obtained manifold and by $\pi : \tilde{Q} \cup K \rightarrow Q$ the natural projection. We will say that the manifold Q is obtained from Q_1^n, \dots, Q_{k+1}^n by *the surgery along knots* $\beta_1, \dots, \beta_{2k}$ and call every pair β_{2j-1}, β_{2j} *the binding pair*, $j \in \{1, 2, \dots, k\}$.

Proposition 5.5. *The manifold Q is homeomorphic to \mathbb{Q}^n and every manifold $\pi(T_j)$ cuts Q into two connected components whose closures are homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$.*

Proof: Prove the proposition by induction on k . Consider the case $k = 1$. Due to Propositions 5.3, 5.2 manifolds $\tilde{N}_1 = Q_1^n \setminus \text{int } N_1$, $\tilde{N}_2 = Q_2^n \setminus \text{int } N_2$, $\tilde{N}_1 \bigcup_{\psi_1|_{\partial N_1}} K_1$ are homeomorphic to the direct product $\mathbb{B}^{n-1} \times \mathbb{S}^1$. By definition, the manifold T_1 cuts the manifold K_1 into two connected components whose closures are homeomorphic to $\mathbb{Q}^{n-1} \times [0, 1]$. It follows from Proposition 5.2 that T_1 cuts $\tilde{N}_1 \bigcup_{\psi_1|_{\partial N_1}} K_1$ into two connected components such that the closure of one of which, denote it by \tilde{N} , is homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$ and the closure of another is homeomorphic to $\mathbb{Q}^{n-1} \times [0, 1]$. Suppose that $D_0^{n-1} \subset \mathbb{S}^{n-1}$ is an arbitrary disk, $N_0 = D_0^{n-1} \times \mathbb{S}^1$ and $h_0 : \pi(\tilde{N}_1 \bigcup K_1) \rightarrow N_0$ is an arbitrary homeomorphism. Put $\tilde{\psi}_1 = h_0 \pi \psi_1^{-1} \pi^{-1} h_0^{-1}|_{\partial N_0}$. In virtue of Proposition 5.3 a homeomorphism $\tilde{\psi}$ can be extended up to a homeomorphism $h_1 : \pi(\tilde{N}_2) \rightarrow \mathbb{Q}^n \setminus \text{int } N_0$. Then the map $h : Q \rightarrow \mathbb{Q}^n$ defined by $h(x) = h_0(x)$ for $x \in \pi(\tilde{N}_1 \bigcup K_1)$ and $h(x) = h_1(x)$ for $x \in \pi(\tilde{N}_2)$ is the desired homeomorphism. The manifold $\pi(T_1)$ cuts Q into two connected components such that the closure of one of them is $\pi(\tilde{N})$ which is homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$. By Corollary 5.1, the closure of another connected component is also homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$.

Suppose that the statement is true for all $\lambda = k$ and show that it is true also for $\lambda = k + 1$. Since $2k \geq k + 1$ we have that there exists at least one manifold among the manifolds $Q_1^n, \dots, Q_{\lambda+1}^n$, say $Q_{\lambda+1}^n$, containing exactly one knot from the set $\beta_1, \dots, \beta_{2k}$ (if every of that manifolds would contain no less than two knots, then the total number of all knots be no less than $2k + 2$). Let $\beta_{2\lambda} \subset Q_{\lambda+1}^n$, $\beta_{2\lambda-1} \subset Q_i^n$, $i \in \{1, \dots, \lambda\}$, be a binding pair. By the induction hypothesis and Corollary 5.1, the manifold Q_λ obtained by the surgery of manifolds $Q_1^n, \dots, Q_\lambda^n$ along knots $\beta_1, \dots, \beta_{2\lambda-2}$ is homeomorphic to \mathbb{Q}^n ; the projection of every manifold (T_j) cuts Q_λ into two connected components such that the closure of each of which is homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$; and the projection of the knot $\beta_{2\lambda-1}$ is the essential knot. Now apply the surgery to manifolds $Q_\lambda, Q_{\lambda+1}^n$ along knots $\pi(\beta_{2\lambda-1}), \beta_{2\lambda}$ and use the first step arguments to obtain the desired statement. \diamond

5.4 Proof of Lemma 3.1

Step 1. *Proof of the fact that the manifold \widehat{V}_f is homeomorphic to \mathbb{Q}^n and every connected*

component \mathcal{Q}^{n-1} of the set $\hat{L}_f^u \cup \hat{L}_f^s$ cuts \hat{V}_f into two connected components whose closures are homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$.

Put $k_i = |\Omega_f^i|$, $i \in \{0, 1, n-1, n\}$. Due to Statement 4.2 and the fact that the closure of every separatrix of dimension $(n-1)$ cuts the ambient sphere S^n into two connected components one gets $k_0 = k_1 + 1$, $k_n = k_{n-1} + 1$.

Denote by $\beta_1, \dots, \beta_{2k_1}$ the essential knots in the set $\hat{V} = \bigcup_{\omega \in \Omega_f^0} \hat{V}_\omega^s$ which are projections

(by means of $p_{\hat{V}}$) of all one-dimension unstable separatrices of the diffeomorphism f . Without loss of generality assume that knots β_{2j-1}, β_{2j} are the projection of the separatrices of the same saddle point $\sigma_j \in \Omega_f^1$, $j \in \{1, \dots, k_1\}$.

It follows from Statement 4.2 that every manifold \hat{V}_ω^s contains at least one knot from the set $\beta_1, \dots, \beta_{2k_1}$. Since stable and unstable manifolds of different saddle points do not intersect we have that for any $j \in \{1, \dots, k_1\}$ knots β_{2j-1}, β_{2j} belong to distinct connected components of \hat{V} . Indeed, if one suppose that $\beta_{2j-1}, \beta_{2j} \subset \hat{V}_\omega^s$ for some j, ω , then the set $cl W_{\sigma_j}^u = W_{\sigma_j}^u \cup \omega$ is homeomorphic to the circle. Since $cl W_{\sigma_j}^s$ divides the sphere S^n into two parts and intersect the circle $cl W_{\sigma_j}^u$ at the point σ_j we have that there exists at least one point in $cl W_{\sigma_j}^s \cap cl W_{\sigma_j}^u$ different from σ_j . This fact contradicts to the item 1 of Statement 4.1.

Let $N_{\sigma_j}, \chi_{\sigma_j} : N_{\sigma_j} \rightarrow \mathbb{U}$ be the neighborhood of the point σ_j and the homeomorphism defined in Proposition 4.3. Further we use denotations of the sections 4.2, 4.3. Denote by N_{2j-1}, N_{2j} the connected components of the set $\hat{N}_{\sigma_j}^u$ containing knots β_{2j-1}, β_{2j} correspondingly. Let $\psi : \partial \hat{N}^u \rightarrow \partial \hat{N}^s$ be a homeomorphism such that $\psi p_u|_{\partial \mathbb{U}} = p_s|_{\partial \mathbb{U}}$. Put $K_j = \hat{N}_{\sigma_j}^s$, $T_j = \hat{V}_{\sigma_j}^s$ and define homeomorphisms $\varphi_{u,j} : N_{2j-1} \cup N_{2j} \rightarrow \hat{N}^u$, $\varphi_{s,j} : K_j \rightarrow \hat{N}^s$, $\psi_j : \partial N_{2j-1} \cup \partial N_{2j} \rightarrow \partial K_j$ by

$$\varphi_{u,j} = p_u \chi_{\sigma_j} p_{\hat{V}_f}^{-1}|_{N_{2j-1} \cup N_{2j}},$$

$$\varphi_{s,j} = p_s \chi_{\sigma_j} p_{\hat{V}_f}^{-1}|_{K_j},$$

$$\psi_j = \varphi_{s,j}^{-1} \psi \varphi_{u,j}|_{\partial N_{2j-1} \cup \partial N_{2j}},$$

and denote by

$$\Psi : \bigcup_{j=1}^{k_1} (\partial N_{2j-1} \cup \partial N_{2j}) \rightarrow \bigcup_{j=1}^{k_1} K_j$$

the homeomorphism such that

$$\Psi|_{\partial N_{2j-1} \cup \partial N_{2j}} = \psi_j|_{\partial N_{2j-1} \cup \partial N_{2j}}.$$

Since

$$V_f = \left(\bigcup_{\omega \in \Omega_f^0} V_\omega^s \setminus \left(\bigcup_{\sigma \in \Omega_f^1} V_\sigma^u \right) \right) \cup \left(\bigcup_{\sigma \in \Omega_f^1} V_\sigma^s \right) = \left(V_f \setminus \left(\bigcup_{\sigma \in \Omega_f^1} N_\sigma^u \right) \right) \cup \left(\bigcup_{\sigma \in \Omega_f^1} N_\sigma^s \right)$$

it follows that

$$\widehat{V}_f = \left(\widehat{V}_f \setminus \left(\bigcup_{\sigma \in \Omega_f^1} \widehat{N}_\sigma^u \right) \right) \cup_{\Psi} \left(\bigcup_{\sigma \in \Omega_f^1} \widehat{N}_\sigma^s \right) = \left(\widehat{V}_f \setminus \left(\bigcup_{j=1}^{2k_1} N_j \right) \right) \cup_{\Psi} \left(\bigcup_{j=1}^{k_1} K_j \right).$$

So, the manifold \widehat{V}_f is obtained from $\bigcup_{\omega \in \Omega_f^0} \widehat{V}_\omega^s$ by the surgery along knots $\beta_1, \dots, \beta_{2k_1}$.

Due to Proposition 5.5, the manifold \widehat{V}_f is homeomorphic to \mathbb{Q}^n and every connected component of the set \widehat{L}_f^s cuts the set \widehat{V}_f into two connected components such that the closure of each of which is homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$.

From the other hand

$$V_f = \left(\bigcup_{\alpha \in \Omega_f^n} V_\alpha^u \setminus \left(\bigcup_{\sigma \in \Omega_f^{n-1}} V_\sigma^s \right) \right) \cup \left(\bigcup_{\sigma \in \Omega_f^{n-1}} V_\sigma^u \right) = \left(V_f \setminus \left(\bigcup_{\sigma \in \Omega_f^{n-1}} N_\sigma^s \right) \right) \cup \left(\bigcup_{\sigma \in \Omega_f^{n-1}} N_\sigma^u \right).$$

Similar to previous arguments one can conclude that the set \widehat{V}_f is obtained from $\bigcup_{\alpha \in \Omega_f^n} \widehat{V}_\alpha^u$

by the surgery along the projections of all one-dimensional stable separatrices of the saddle points of the diffeomorphism f . In virtue of Proposition 5.5 every connected component of the set \widehat{L}_f^u cuts the set \widehat{V}_f into two connected components such that the closure of each of which is homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$.

Step 2. *Proof of the fact that there is a set $\widehat{\mathbb{L}}_{m_f} \subset \mathbb{Q}^n$ and a homeomorphism $\widehat{\varphi} : \widehat{V}_f \rightarrow \mathbb{Q}^n$ such that $\widehat{\varphi}(\widehat{L}_f^s \cup \widehat{L}_f^u) = \widehat{\mathbb{L}}_{m_f}$.*

Denote by $\mathcal{Q}_1^{n-1}, \dots, \mathcal{Q}_{k_1+k_{n-1}}^{n-1}$ all elements of the set $\widehat{L}_f^s \cup \widehat{L}_f^u$ and suppose that \mathcal{Q}_1^{n-1} is an element such that all elements of the set $\widehat{L}_f^s \cup \widehat{L}_f^u \setminus \mathcal{Q}_1^{n-1}$ are contained exactly in one of the connected component of the manifold $\widehat{V}_f \setminus \mathcal{Q}_1^{n-1}$. Denote by N_1 the closure of this connected component. By Step 1, N_1 is homeomorphic to $\mathbb{B}^{n-1} \times \mathbb{S}^1$. By Proposition 5.3, there exists a disk $D_1^{n-1} \subset \mathbb{S}^{n-1}$ and a homeomorphism $\psi_0 : \widehat{V}_f \rightarrow \mathbb{Q}^n$ such that $\psi_0(N_1) = D_1^{n-1} \times \mathbb{S}^1$. If $k_1 + k_{n-1} = 1$ then the proof is complete and $\widehat{\varphi} = \psi_0$, $\widehat{\mathbb{L}}_{m_f} = \partial D_1^{n-1} \times \mathbb{S}^1$.

Let $k_1 + k_{n-1} > 1$. Denote the images of $\mathcal{Q}_1^{n-1}, \dots, \mathcal{Q}_{k_1+k_{n-1}}^{n-1}$ under the homeomorphism ψ_0 by the same symbols as their originals. For $i \in \{2, \dots, k_1 + k_{n-1}\}$ denote by N_i the connected component of the set $\mathbb{Q}^n \setminus \mathcal{Q}_i^{n-1}$ contained in the set $D_1^{n-1} \times \mathbb{S}^1$. Without loss of generality suppose that the numeration of the sets $\mathcal{Q}_1^{n-1}, \dots, \mathcal{Q}_{k_1+k_{n-1}}^{n-1}$ is chosen in such a way that there exist a number $l_1 \in [2, k_1 + k_{n-1}]$ and pair-wise disjoint sets N_2, \dots, N_{l_1}

such that $\bigcup_{i=2}^{l_1} N_i = \bigcup_{i=2}^{k_1+k_{n-1}} N_i$. Choose in the interior of the disk D_1^{n-1} arbitrary pair-wise disjoint disks $D_2^{n-1}, \dots, D_{l_1}^{n-2}$. Due to Proposition 5.3 there exists a homeomorphism $\psi_1 : \mathbb{Q}^n \rightarrow \mathbb{Q}^n$ such that $\psi_1|_{\mathbb{Q}^n \setminus \text{int } D_1^{n-1} \times \mathbb{S}^1} = \text{id}$, $\psi_1(N_i) = D_i^{n-1} \times \mathbb{S}^1$, $i \in \{2, \dots, l_1\}$. If

$l_1 = k_1 + k_{n-1}$ then the proof is complete and $\widehat{\varphi} = \psi_1 \psi_0$, $\widehat{\mathbb{L}}_{m_f} = \bigcup_{i=1}^{l_1} \partial D_i^{n-1} \times \mathbb{S}^1$.

Let $l_1 < k_1 + k_{n-1}$. Denote the images of $\mathcal{Q}_1^{n-1}, \dots, \mathcal{Q}_{k_1+k_{n-1}}^{n-1}$ and $N_1, \dots, N_{k_1+k_{n-1}}$ under the homeomorphism ψ_1 by the same symbols as their originals. Put $\mathcal{N} = \bigcup_{i=l_1+1}^{k_1+k_{n-1}} N_i$.

If for fixed $i \in \{2, \dots, l_1\}$ the set N_i has non-empty intersection with the set \mathcal{N} , then denote by l_i, \tilde{k}_i , $l_i \leq \tilde{k}_i$, the positive numbers such that $N_{i,1}, \dots, N_{i,\tilde{k}_i}$ are all elements from $N_i \cap \mathcal{N}$ and $N_{i,1}, \dots, N_{i,l_i}$ are pair-wise disjoint elements from $N_i \cap \mathcal{N}$ such that $\bigcup_{j=1}^{l_i} N_{i,j} = \bigcup_{j=2}^{\tilde{k}_i} N_{i,j}$. Choose in the interior of the every disk D_i^{n-1} pair-wise disjoint disks $D_{i,1}^{n-1}, \dots, D_{i,l_i}^{n-1}$. It follows from Proposition 5.3 that there exists a homeomorphism $\psi_i : \mathbb{Q}^n \rightarrow \mathbb{Q}^n$ such that $\psi_i|_{\mathbb{Q}^n \setminus \text{int } N_i} = \text{id}$, $\psi_i(N_{i,j}) = D_{i,j}^{n-1} \times \mathbb{S}^1$, $j \in \{1, \dots, l_i\}$, $i \in \{2, \dots, l_1\}$. If $N_i \cap \mathcal{N} = \emptyset$, put $\psi_i = \text{id}$.

If $l_i = \tilde{k}_i$ for any $i \in \{2, \dots, l_1\}$ such that the numbers l_i, \tilde{k}_i are defined, then the proof is complete and $\hat{\varphi} = \psi_{l_1} \psi_{l_1-1} \cdots \psi_1$, $\widehat{\mathbb{L}}_{m_f} = \bigcup_{i=1}^{l_1} \bigcup_{j=1}^{l_i} \partial D_{i,j}^{n-1} \times \mathbb{S}^1$. Otherwise, continue the process and after finite number of steps get the desired set $\widehat{\mathbb{L}}_{m_f}$ and the desired homeomorphism $\hat{\varphi}$ as a superposition of all constructed homeomorphisms.

6 Embedding of diffeomorphisms from the class $G(M^n)$ into topological flows

6.1 Free and properly discontinuous action of a group of maps

In this section we collect an axillary facts on properties of the transformation group $\{g^n, n \in \mathbb{Z}\}$ which is an infinite cyclic group acting freely and properly discontinuously on a topological (in general, non-compact) manifold X and generated by a homeomorphism $g : X \rightarrow X$.

Denote by X/g the orbit space of the action of the group $\{g^n, n \in \mathbb{Z}\}$ and by $p_{X/g} : X \rightarrow X/g$ the natural projection. In virtue of [33] (Theorem 3.5.7 and Proposition 3.6.7) the natural projection $p_{X/g} : X \rightarrow X/g$ is a covering map and the space X/g is a manifold.

Denote by $\eta_{X/g} : \pi_1(X/g) \rightarrow \mathbb{Z}$ a homeomorphism defined in the following way. Let $\hat{c} \subset X/g$ be a loop non-homotopic to zero in X/g and $[\hat{c}] \in \pi_1(X/g)$ be a homotopy class of \hat{c} . Choose an arbitrary point $\hat{x} \in \hat{c}$, denote by $p_{X/g}^{-1}(\hat{x})$ the complete inverse image of \hat{x} , and fix a point $\tilde{x} \in p_{X/g}^{-1}(\hat{x})$. As $p_{X/g}$ is the covering map then there is a unique path $\tilde{c}(t)$ beginning at the point \tilde{x} ($\tilde{c}(0) = \tilde{x}$) and covering the loop \hat{c} (such that $p_{X/g}(\tilde{c}(t)) = \hat{c}$). Then there exists the element $n \in \mathbb{Z}$ such that $\tilde{c}(1) = f^n(\tilde{x})$. Put $\eta_{X/g}([\hat{c}]) = n$. It follows from [21] (ГЛ. 18) that the homomorphism $\eta_{X/g}$ is an epimorphism.

The next statement 6.1 can be found in [21] (Theorem 5.5) and [4] (Propositions 1.2.3 и 1.2.4).

Statement 6.1. *Suppose that X, Y are connected topological manifolds and $g : X \rightarrow X$, $h : Y \rightarrow Y$ are homeomorphisms such that groups $\{g^n, n \in \mathbb{Z}\}$, $\{h^n, n \in \mathbb{Z}\}$ acts freely*

⁷A group \mathcal{G} acts on the manifold X if there is a map $\zeta : \mathcal{G} \times X \rightarrow X$ with the following properties:

- 1) $\zeta(e, x) = x$ for all $x \in X$, where e is the identity element of the group \mathcal{G} ;
- 2) $\zeta(g, \zeta(h, x)) = \zeta(gh, x)$ for all $x \in X$ and $g, h \in \mathcal{G}$.

A group \mathcal{G} acts *freely* on a manifold X if for any different $g, h \in \mathcal{G}$ and for any point $x \in X$ an inequality $\zeta(g, x) \neq \zeta(h, x)$ holds.

A group \mathcal{G} acts *properly discontinuously* on the manifold X if for every compact subset $K \subset X$ the set of elements $g \in \mathcal{G}$ such that $\zeta(g, K) \cap K \neq \emptyset$ is finite.

and properly discontinuously on X, Y correspondingly. Then:

- 1) if $\varphi : X \rightarrow Y$ is a homeomorphism such that $h = \varphi g \varphi^{-1}$ and $\varphi_* : \pi_1(X/g) \rightarrow \pi_1(Y/h)$ is the induced homomorphism, then a map $\widehat{\varphi} : X/g \rightarrow Y/h$ defined by $\widehat{\varphi} = p_{Y/h} \varphi p_{X/g}^{-1}$ is a homeomorphism and $\eta_{X/g} = \eta_{Y/h} \varphi_*$;
- 2) if $\widehat{\varphi} : X/g \rightarrow Y/h$ is a homeomorphism such that $\eta_{X/g} = \eta_{Y/h} \varphi_*$ and $\hat{x} \in X/g$, $\tilde{x} \in p_{X/g}^{-1}(\hat{x})$, $y = \widehat{\varphi}(\hat{x})$, $\tilde{y} \in p_{Y/h}^{-1}(y)$, then there exists a unique homeomorphism $\varphi : X \rightarrow Y$ such that $h = \varphi g \varphi^{-1}$ and $\varphi(\tilde{x}) = \tilde{y}$.

6.2 Proof of Theorem 1

Suppose that a Morse-Smale diffeomorphism $f : S^n \rightarrow S^n$ has no heteroclinic intersection and satisfy Palis conditions. To prove the theorem it is enough to construct a topological flow X_f^t such that its time one map X_f^1 belongs to the class $G(S^n)$ and the scheme $S_{X_f^1}$ is equivalent to the scheme S_f (see Section 3).

Step 1. It follows from Lemma 3.1 and Proposition 6.1 that there exists a homeomorphism $\psi_f : V_f \rightarrow \mathbb{S}^{n-1} \times \mathbb{R}$ such that:

- 1) $f|_{V_f} = \psi_f^{-1} a \psi_f$, where a is the time one map of the flow $a^t(x, s) = (x, s + t)$, $x \in \mathbb{S}^{n-1}$, $s \in \mathbb{R}$;
- 2) for $(n-1)$ -dimensional separatrix l_σ of an arbitrary saddle point $\sigma \in \Omega_f$ there exists a sphere $S_\sigma^{n-2} \subset \mathbb{S}^{n-1}$ such that $\psi_f(l_\sigma) = \bigcup_{t \in \mathbb{R}} a^t(S_\sigma^{n-2})$.

Recall that we denote by L_f^s and L_f^u the union of all $(n-1)$ -dimensional stable and unstable separatrices of the diffeomorphism f correspondingly. Put $\mathbb{L}^s = \psi_f(L_f^s)$, $\mathbb{L}^u = \psi_f(L_f^u)$. Then \mathbb{L}^δ is the union of pair-wise disjoint cylinders $\tilde{Q}_1^\delta \cup \dots \cup \tilde{Q}_{k^\delta}^\delta$, $\delta \in \{s, u\}$. Denote by $N(\mathbb{L}^\delta) = N(\tilde{Q}_1^\delta) \cup \dots \cup N(\tilde{Q}_{k^\delta}^\delta)$ the set of their pair-wise disjoint closed tubular neighborhoods such that $N(\tilde{Q}_i^\delta) = K_i^\delta \times \mathbb{R}$, where $K_i^\delta \subset \mathbb{S}^{n-1}$ is an annulus of dimension $(n-1)$, $i = 1, \dots, k^\delta$.

Define a flow a_1^t on the set $\mathbb{U} = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_1^2(x_2^2 + \dots + x_n^2) \leq 1\}$ by $a_1^t(x_1, x_2, \dots, x_n) = (2^t x_1, 2^{-t} x_2, \dots, 2^{-t} x_n)$. It follows from Statements 4.4, 6.1 that there exists a homeomorphism $\chi_i^s : N(\tilde{Q}_i^s) \rightarrow \mathbb{N}^s$ such that $a_1^1|_{\mathbb{N}^s} = \chi_i^s a^1 (\chi_i^s)^{-1}|_{\mathbb{N}^s}$. Denote by $\chi^s : N(\mathbb{L}^s) \rightarrow \mathbb{U} \times \mathbb{Z}_{k^s}$ a homeomorphism such that $\chi^s|_{N(\tilde{Q}_i^s)} = \chi_i^s$ for any $i \in \{1, \dots, k^s\}$. Put $\mathbb{Q}^s = (\mathbb{S}^{n-1} \times \mathbb{R}) \cup_{\chi^s} (\mathbb{U} \times \mathbb{Z}_{k^s})$. A topological space \mathbb{Q}^s is a connected oriented n -manifold without boundary.

Denote by $\pi_s : (\mathbb{S}^{n-1} \times \mathbb{R}) \cup (\mathbb{U} \times \mathbb{Z}_{k^s}) \rightarrow \mathbb{Q}^s$ a natural projection. Put $\pi_{s,1} = \pi_s|_{\mathbb{S}^{n-1} \times \mathbb{R}}$, $\pi_{s,2} = \pi_s|_{\mathbb{U} \times \mathbb{Z}_{k^s}}$. Define a flow \tilde{Y}_s^t on the manifold \mathbb{Q}^s by

$$\tilde{Y}_s^t(x) = \begin{cases} \pi_{s,1}(a^t(\pi_{s,1}^{-1}(x))), & x \in \pi_{s,1}(\mathbb{S}^{n-1} \times \mathbb{R}); \\ \pi_{s,2}(a_1^t(\pi_{s,2}^{-1}(x))), & x \in \pi_{s,2}(\mathbb{U} \times \{i\}), \quad i \in \mathbb{Z}_{k^s} \end{cases}$$

By construction the non-wandering set of the flow \tilde{Y}_s^t consists of k^s equilibria such that the flow \tilde{Y}_s^t is locally topologically conjugated with the flow a_1^t at the neighborhood of each equilibrium.

Step 2. Denote the images of the sets \mathbb{L}^u , $N(\mathbb{L}^u)$ by means of the projection π_s by the same symbols as their originals. Due to Statements 4.4, 6.1 there exists a homeomorphism $\chi_i^u : N(\tilde{Q}_i^u) \rightarrow \mathbb{N}^u$ such that $a_1^{-1}|_{\mathbb{N}^u} = \chi_i^u \tilde{Y}_s^1 (\chi_i^u)^{-1}$, $i = 1, \dots, k^u$. Denote by $\chi^u : N(\mathbb{L}^u) \rightarrow \mathbb{U} \times \mathbb{Z}_{k^u}$ the homeomorphism such that $\chi^u|_{N(\tilde{Q}_i^u)} = \chi_i^u$ for any $i = 1, \dots, k^u$. Put $\mathbb{Q}^u = \mathbb{Q}^s \cup_{\chi^u} (\mathbb{U} \times \mathbb{Z}_{k^u})$. A topological space \mathbb{Q}^u is a connected oriented n -manifold without boundary.

Denote by $\pi_u : \mathbb{Q}^s \cup (\mathbb{U} \times \mathbb{Z}_{k^u}) \rightarrow \mathbb{Q}^u$ the natural projection. Put $\pi_{u,1} = \pi_u|_{\mathbb{Q}^s}$, $\pi_{u,2} = \pi_u|_{\mathbb{U} \times \mathbb{Z}_{k^u}}$. Define a flow \tilde{Y}_u^t on the manifold \mathbb{Q}^u by

$$\tilde{Y}_u^t(x) = \begin{cases} \pi_{u,1}(\tilde{Y}_s^t(\pi_{u,1}^{-1}(x))), & x \in \pi_{u,1}(\mathbb{Q}^s); \\ \pi_{u,2}(a_1^{-t}(\pi_{u,2}^{-1}(x))), & x \in \pi_{u,2}(\mathbb{U} \times \{i\}), i \in \mathbb{Z}_{k^u} \end{cases}.$$

The non-wandering set $\Omega_{\tilde{Y}_u^t}$ of the flow \tilde{Y}_u^t consists of k^s equilibria such that the flow \tilde{Y}_u^t is locally topological conjugated with the flow a_1^t in each of their neighborhoods and k^u equilibria such that the flow \tilde{Y}_u^t is locally topologically conjugated with the flow a_1^{-t} in each of their neighborhoods.

Step 3. Put $R^s = \mathbb{Q}^u \setminus W_{\Omega_{\tilde{Y}_u^t}}^s$, denote by $\rho_1^s, \dots, \rho_{n^s}^s$ connected components of the set R^s and put $\hat{\rho}_i^s = \rho_i^s / \tilde{Y}_u^t$. A union of the orbit spaces $\bigcup_{i=1}^{n^s} \hat{\rho}_i^s$ is obtained from the

manifold \widehat{V}_a by a sequence of the surgeries along essential submanifolds of codimension 1. In virtue of Proposition 5.4 for any $i \in \{1, \dots, n^s\}$ the manifold $\hat{\rho}_i^s$ is homeomorphic to $\mathbb{S}^{n-1} \times \mathbb{S}^1$, the manifold ρ_i^s is homeomorphic to $\mathbb{S}^{n-1} \times \mathbb{R}$ and the flow $\tilde{Y}_u^t|_{\rho_i^s}$ is topologically conjugated with the flow $a^t|_{\mathbb{R}^n \setminus O}$ by means of a homeomorphism ν_i^s . Denote by $\nu^s : R^s \rightarrow (\mathbb{R}^n \setminus \{0\}) \times \mathbb{Z}_{n^s}$ the homeomorphism consisting of the homeomorphisms $\nu_1^s, \dots, \nu_{n^s}^s$. Put $M^s = \mathbb{Q}^u \cup_{\nu^s} (\mathbb{R}^n \times \mathbb{Z}_{n^s})$. Then M^s is a connected oriented n -manifold without boundary.

Put $\bar{M}^s = \mathbb{Q}^u \cup (\mathbb{R}^n \times \mathbb{Z}_{n^s})$ and denote by $q_s : \bar{M}^s \rightarrow M^s$ the natural projection. Put $q_{s,1} = q_s|_{\mathbb{Q}^u}$, $q_{s,2} = q_s|_{\mathbb{R}^n \times \mathbb{Z}_{n^s}}$. Define a flow \tilde{X}_s^t on the manifold M^s by

$$\tilde{X}_s^t(x) = \begin{cases} q_{s,1}(\tilde{Y}_u^t(q_{s,1}^{-1}(x))), & x \in q_{s,1}(\mathbb{Q}^u); \\ q_{s,2}(a^t(q_{s,2}^{-1}(x))), & x \in q_{s,2}(\mathbb{R}^n \times \{i\}), i \in \mathbb{Z}_{n^s} \end{cases}.$$

By construction the non-wandering set of the time one map of the flow \tilde{X}_s^t consists of k^s saddle topologically hyperbolic fixed points of index 1, k^u saddle topologically hyperbolic fixed points of index $(n-1)$ and n^s sink topologically hyperbolic fixed points.

Step 4. Put $R^u = M^s \setminus W_{\Omega_{\tilde{X}_s^t}}^u$ and denote by $\rho_1^u, \dots, \rho_{n^u}^u$ connected components of the set R^u . Similar to Step 3 one can prove that every component ρ_i^u is homeomorphic to $\mathbb{S}^{n-1} \times \mathbb{R}$ and the flow $\tilde{X}_s^t|_{\rho_i^u}$ is conjugated with the flow $a^{-t}|_{\mathbb{R}^n \setminus \{O\}}$ by a homeomorphism μ_i^u . Denote by $\mu^u : R^u \rightarrow (\mathbb{R}^n \setminus \{O\}) \times \mathbb{Z}_{n^u}$ a homeomorphism consisting of the homeomorphisms $\mu_1^u, \dots, \mu_{n^u}^u$. Put $M^u = M^s \cup_{\mu^u} (\mathbb{R}^n \times \mathbb{Z}_{n^u})$. M^u is a connected closed oriented n -manifold.

Put $\bar{M}^u = M^s \cup (\mathbb{R}^n \times \mathbb{Z}_{n^u})$, denote by $q_u : \bar{M}^u \rightarrow M^u$ the natural projection, and put $q_{u,1} = q_u|_{M^s}$, $q_{u,2} = q_u|_{\mathbb{R}^n \times \mathbb{Z}_{n^u}}$. Define a flow \tilde{X}_u^t on the manifold M^u by

$$\tilde{X}_u^t(x) = \begin{cases} q_{u,1}(\tilde{X}_s^t(q_{u,1}^{-1}(x))), & x \in q_{u,1}(M^s); \\ q_{u,2}(a_0^{-t}(q_{u,2}^{-1}(x))), & x \in q_{u,2}(\mathbb{R}^n \times \{i\}), i \in \mathbb{Z}_{n^u} \end{cases}.$$

By construction the non-wandering set of the time one map of the flow \tilde{X}_u^t consists of k^s saddle topologically hyperbolic fixed points of index 1, k^u saddle topologically hyperbolic fixed points of index $(n-1)$, n^s sink and n^u source topologically hyperbolic fixed points.

Step 5. Put $\tilde{f} = \tilde{X}_u^1$. By construction \tilde{f} is a Morse-Smale homeomorphism on the manifold M^u and its restriction $\tilde{f}|_{V_{\tilde{f}}}$ is topologically conjugated with the diffeomorphism $f|_{V_f}$ by a homeomorphism mapping the $(n-1)$ -dimensional separatrices of the diffeomorphism \tilde{f} to the $(n-1)$ -dimensional separatrices of the diffeomorphism f and preserving their stability. Due to Statement 3.1 homeomorphisms \tilde{f} and f are topologically conjugated. Hence $M^u = S^n$ and $X^t = \tilde{X}_u^t$ is the desired flow.

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