

# COUNTING VERTICES IN GELFAND–ZETLIN POLYTOPES

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ABSTRACT. We discuss the problem of counting vertices in Gelfand–Zetlin polytopes. Namely, we deduce a partial differential equation with constant coefficients on the exponential generating function for these numbers. For some particular classes of Gelfand–Zetlin polytopes, the number of vertices can be given by explicit formulas.

## 1. INTRODUCTION AND STATEMENT OF RESULTS

Gelfand–Zetlin polytopes play an important role in representation theory [GZ, Ok97, Ok98], symplectic geometry [GS83] and in algebraic geometry [Kir10, KST, KM05]. Let  $\lambda_1 \leq \dots \leq \lambda_s$  be a non-decreasing finite sequence of integers, i.e. an integer partition. The corresponding Gelfand–Zetlin polytope is a convex polytope in  $\mathbb{R}^{\frac{s(s-1)}{2}}$  defined by an explicit set of linear inequalities depending on  $\lambda_i$ . It will be convenient to label the coordinates  $u_{i,j}$  in  $\mathbb{R}^{\frac{s(s-1)}{2}}$  by pairs of integers  $(i, j)$ , where  $i$  runs from 1 to  $s-1$ , and  $j$  runs from 1 to  $s-i$ . The inequalities defining the Gelfand–Zetlin polytope can be visualized by the following triangular table.

$$\begin{array}{ccccccc}
 \lambda_1 & & \lambda_2 & & \lambda_3 & & \dots & & \lambda_s \\
 & u_{1,1} & & u_{1,2} & & \dots & & u_{1,s-1} & \\
 & & u_{2,1} & & \dots & & u_{2,s-2} & & \\
 & & & \ddots & & \dots & & & \\
 & & & & u_{s-2,1} & & u_{s-2,2} & & \\
 & & & & & & u_{s-1,1} & & 
 \end{array} \tag{GZ}$$

where every triple of numbers  $a, b, c$  that appear in the table as vertices of the triangle

$$\begin{array}{cc}
 a & b \\
 & c
 \end{array}$$

are subject to the inequalities  $a \leq c \leq b$ .

Gelfand–Zetlin polytopes parameterize irreducible finite-dimensional representations of  $GL_n(\mathbb{C})$ . Namely, if  $V_\lambda$  is the simple  $GL_n(\mathbb{C})$ -module of highest weight  $\lambda$ , then there is a *Gelfand–Zetlin* basis in  $V_\lambda$ , whose elements are labeled by integer points in  $GZ(\lambda)$ . In particular, the number of integer points in  $GZ(\lambda)$  is equal to the dimension of  $V_\lambda$ .

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In this paper, we discuss generating functions for the number of vertices in Gelfand–Zetlin polytopes. We will use the multiplicative notation for partitions, e.g.  $1^{i_1}2^{i_2}3^{i_3}$  will denote the partition consisting of  $i_1$  copies of 1,  $i_2$  copies of 2, and  $i_3$  copies of 3. Given a partition  $p$ , we write  $GZ(p)$  for the corresponding Gelfand–Zetlin polytope, and  $V(p)$  for the number of vertices in  $GZ(p)$ . Thus  $GZ(1^22^2)$  denotes the Gelfand–Zetlin polytope, for which  $s = 4$ ,  $\lambda_1 = \lambda_2 = 1$ , and  $\lambda_3 = \lambda_4 = 2$ . Note that the partition  $1^22^03^2$  is the same as  $1^23^2$ . In particular, the polytope  $GZ(1^22^03^2)$  coincides with  $GZ(1^23^2)$  and is combinatorially equivalent to  $GZ(1^22^2)$ .

Fix a positive integer  $k$ , and consider all partitions of the form  $1^{i_1} \dots k^{i_k}$ , where a priori some of the powers  $i_j$  may be zero. We let  $E_k$  denote the exponential generating function for the numbers  $V(1^{i_1} \dots k^{i_k})$ , i.e. the formal power series

$$E_k(z_1, \dots, z_k) = \sum_{i_1, \dots, i_k \geq 0} V(1^{i_1} \dots k^{i_k}) \frac{z_1^{i_1}}{i_1!} \dots \frac{z_k^{i_k}}{i_k!}.$$

Our first result is a partial differential equation on the function  $E_k$ :

**Theorem 1.1.** *The formal power series  $E_k$  satisfies the following partial differential equation with constant coefficients:*

$$\left( \frac{\partial^k}{\partial z_1 \dots \partial z_k} - \left( \frac{\partial}{\partial z_1} + \frac{\partial}{\partial z_2} \right) \dots \left( \frac{\partial}{\partial z_{k-1}} + \frac{\partial}{\partial z_k} \right) \right) E_k = 0.$$

E.g. we have

$$E_1(z_1) = e^{z_1}, \quad E_2(z_1, z_2) = e^{z_1+z_2} I_0(2\sqrt{z_1 z_2}),$$

where  $I_0$  is the modified Bessel function of the first kind with parameter 0. This function can be defined e.g. by its power expansion

$$I_0(t) = \sum_{n=0}^{\infty} \frac{t^n}{n!^2}.$$

It is also useful to consider ordinary generating functions for the numbers  $V(1^{i_1} \dots k^{i_k})$ :

$$G_k(y_1, \dots, y_k) = \sum_{i_1, \dots, i_k \geq 0} V(1^{i_1} \dots k^{i_k}) y_1^{i_1} \dots y_k^{i_k}.$$

We will also deduce equations on  $G_k$ . These will be difference equations rather than differential equations. For any power series  $f$  in the variables  $y_1, \dots, y_k$ , define the action of the divided difference operator  $\Delta_i$  on  $f$  as

$$\Delta_i(f) = \frac{f - f|_{y_i=0}}{y_i}.$$

**Theorem 1.2.** *The ordinary generating function  $G_k$  satisfies the following equation*

$$(\Delta_1 \dots \Delta_k - (\Delta_1 + \Delta_2) \dots (\Delta_{k-1} + \Delta_k)) G_k = 0.$$

It is known that the ordinary generating functions  $G_k$  can be obtained from exponential generating functions  $E_k$  by the Laplace transform. Thus Theorem 1.2 can in principle be deduced from Theorem 1.1 and the properties of the Laplace transform. However, we will give a direct proof.

For  $k = 1, 2$  and  $3$ , the generating functions  $G_k$  can be computed explicitly. It is easy to see that

$$G_1(y_1) = \frac{1}{1 - y_1}, \quad G_2(y_1, y_2) = \frac{1}{1 - y_1 - y_2}.$$

We will prove the following theorem:

**Theorem 1.3.** *The function  $G_3(x, y, z)$  is equal to*

$$\frac{2xz - y(1 - x - z) - y\sqrt{1 - 2(x + z) + (x - z)^2}}{2(1 - x - z)((x + y)(y + z) - y)}.$$

The numbers  $V_{k,\ell,m} = V(1^k 2^\ell 3^m)$  can be alternatively expressed as coefficients of certain polynomials:

**Theorem 1.4.** *The number  $V_{k,\ell,m}$  for  $k > 0$ ,  $\ell > 0$ ,  $m > 0$  is equal to the coefficient of  $x^k z^m$  in the polynomial*

$$\frac{1 - xz}{1 + xz} \left( (1 + x)^{k+\ell+m} (1 + z)^{k+\ell+m} - (x + z)^{k+\ell+m} \right).$$

Set  $s = k + \ell + m$ . Note that, since the term  $(x + z)^s$  is homogeneous of degree  $s$ , the number  $V_{k,\ell,m}$ , where  $k, \ell, m > 0$ , is also equal to the coefficient with  $x^k z^m$  in the power series

$$\frac{(1 - xz)(1 + x)^s (1 + z)^s}{1 + xz}.$$

This implies the following explicit formula for the numbers  $V_{k,\ell,m}$  ( $k, \ell, m > 0$ ):

$$V_{k,\ell,m} = \binom{s}{k} \binom{s}{m} + 2 \sum_{i=1}^k (-1)^i \binom{s}{k-i} \binom{s}{m-i}.$$

Note that the sum  $\sum_{i=1}^k (-1)^i \binom{s}{k-i} \binom{s}{m-i}$  can be expressed as the value of the generalized hypergeometric function  ${}_3F_2$ , namely, it is equal to  $\binom{s}{k-1} \binom{s}{m-1} {}_3F_2(1, 1 - k, 1 - m; 2 + \ell + m, 2 + k + \ell; -1)$ .

**REMARK.** The authors of paper [LM] also consider vertices of Gelfand–Zetlin polytopes. However, Gelfand–Zetlin polytopes are understood in [LM] in a different sense than in this paper and in other papers we cite. Namely, the authors impose additional restrictions on coordinates  $u_{i,j}$ : the sum of coordinates in every row of table (GZ) should be equal to a given integer. The integer points in this smaller polytope parameterize vectors with a given weight in the Gelfand–Zetlin basis of  $V_\lambda$ . The main result of [LM] is an explicit parameterization of vertices. The corresponding result in our setting is obvious. Thus there is no immediate connection between

the methods and results from [LM] and from this paper. On the other hand, there may be a possibility of combining both approaches in the setting of [LM].

## 2. RECURRENCE RELATIONS

Let  $R$  be the polynomial ring in countably many variables  $x_1, x_2, x_3, \dots$ . Define a linear operator  $A : R \rightarrow R$  by its action on monomials: every monomial  $m$  is mapped to

$$A(m) = \left( \prod_{j=1}^{k-1} (x_{i_j} + x_{i_{j+1}}) \right) \left( \prod_{j=1}^k x_{i_j}^{-1} \right) m,$$

where  $i_1 < \dots < i_k$  are the indices of all variables  $x_{i_j}$  that have positive exponents in  $m$ . Thus we have by definition:

$$A(1) = 1, \quad A(x_1) = 1, \quad A(x_1 x_2) = x_1 + x_2, \quad A(x_1 x_2 x_3) = (x_1 + x_2)(x_2 + x_3).$$

The operator  $A$  thus defined reduces the degrees of all nonconstant polynomials. Therefore, for any polynomial  $P$ , there exists a positive integer  $N$  such that  $A^N(P)$  is a constant, which is independent of the choice of  $N$  provided that  $N$  is sufficiently large. We let  $A^\infty(P)$  denote this constant.

**Proposition 2.1.** *We have*

$$V(1^{i_1} \dots k^{i_k}) = A^\infty(x_1^{i_1} \dots x_k^{i_k}).$$

*Proof.* Some of the exponents  $i_j$  may be zero. The corresponding terms can be eliminated from both the left-hand side and the right-hand side. We can then shift the remaining indices to reduce the statement to its original form but with all exponents strictly positive. For example, the statement  $V(1^2 2^0 3^2) = A^\infty(x_1^2 x_2^0 x_3^2)$  reduces to the statement  $V(1^2 3^2) = A^\infty(x_1^2 x_3^2)$  and then to the statement  $V(1^2 2^2) = A^\infty(x_1^2 x_2^2)$ . Thus we may assume that all the exponents  $i_j$  are strictly positive.

We will argue by induction on the degree  $i_1 + \dots + i_k$ , equivalently, on the dimension of the Gelfand–Zetlin polytope  $GZ(1^{i_1} \dots k^{i_k})$ . Let  $\pi$  be the linear projection of  $GZ(1^{i_1} \dots k^{i_k})$  to the cube  $C$  given in coordinates  $(u_1, \dots, u_{k-1})$  by the inequalities

$$1 \leq u_1 \leq 2 \leq u_2 \leq \dots \leq k-1 \leq u_{k-1} \leq k. \quad (C')$$

Namely, we set  $u_1 = u_{1,i_1}$ ,  $u_2 = u_{1,i_1+i_2}$ ,  $\dots$ ,  $u_{k-1} = u_{1,i_1+\dots+i_{k-1}}$ . Observe that all vertices of  $GZ(p)$  project to vertices of the cube  $C$ . Thus it suffices to describe the fibers of the projection  $\pi$  over the vertices of the cube  $C$ .

It will be convenient to label the vertices of the cube  $C$  by the monomials in the expansion of the polynomial  $A(x_1 \dots x_k)$ . Namely, to fix a vertex of  $C$ , one needs to specify, for every  $j$  between 1 and  $k-1$ , which of the two inequalities  $j \leq u_j$  or  $u_j \leq j+1$  turns to an equality. Similarly, to fix a monomial in the polynomial  $A(x_1 \dots x_k)$ , one needs to specify, for every  $j$  between 1 and  $k-1$ , which term is taken from the factor  $(x_j + x_{j+1})$ , the term  $x_j$  or the term  $x_{j+1}$ . This description makes the correspondence clear.

Let  $v$  be the vertex of the cube  $C$  corresponding to a monomial  $x_1^{\alpha_1} \dots x_k^{\alpha_k}$ . It is not hard to see that the polytope  $\pi^{-1}(v)$  is combinatorially equivalent to

$$GZ(1^{i_1-1+\alpha_1} \dots k^{i_k-1+\alpha_k}).$$

Define the coefficients  $c_{\alpha_1 \dots \alpha_k}$  so that

$$A(x_1 \dots x_k) = \sum_{\alpha_1, \dots, \alpha_k} c_{\alpha_1 \dots \alpha_k} x_1^{\alpha_1} \dots x_k^{\alpha_k}.$$

Then we have

$$V(1^{i_1} \dots k^{i_k}) = \sum_{\alpha_1, \dots, \alpha_k} c_{\alpha_1 \dots \alpha_k} V(1^{i_1-1+\alpha_1} \dots k^{i_k-1+\alpha_k}).$$

Since for any  $k$ -tuple of indices  $\alpha_1, \dots, \alpha_k$ , for which the corresponding coefficient  $c_{\alpha_1, \dots, \alpha_k}$  is nonzero, the Gelfand–Zetlin polytope  $GZ(1^{i_1-1+\alpha_1} \dots k^{i_k-1+\alpha_k})$  has smaller dimension than  $GZ(1^{i_1} \dots k^{i_k})$ , we can assume by induction that

$$V(1^{i_1-1+\alpha_1} \dots k^{i_k-1+\alpha_k}) = A^\infty(x_1^{i_1-1+\alpha_1} \dots x_k^{i_k-1+\alpha_k}).$$

Hence we have

$$V(1^{i_1} \dots k^{i_k}) = \sum_{\alpha_1, \dots, \alpha_k} c_{\alpha_1 \dots \alpha_k} A^\infty(x_1^{i_1-1+\alpha_1} \dots x_k^{i_k-1+\alpha_k}) = A^\infty(A(x_1^{i_1} \dots x_k^{i_k})).$$

The desired statement follows.  $\square$

### 3. EQUATIONS ON GENERATING FUNCTIONS $E_k$ AND $G_k$

In this section, we deduce equations on the generating functions  $E_k$  and  $G_k$ . In particular, we prove Theorems 1.1 and 1.2.

For a multi-index  $\alpha = (\alpha_1, \dots, \alpha_k)$ , we let  $z^\alpha$  denote the monomial  $z_1^{\alpha_1} \dots z_k^{\alpha_k}$ , and  $\alpha!$  denote the product  $\alpha_1! \dots \alpha_k!$ . The partial derivation with respect to  $z_\ell$  will be written as  $\partial_\ell$ . The power  $\partial^\alpha$  will mean  $\partial_1^{\alpha_1} \dots \partial_k^{\alpha_k}$ . We will write  $I_\ell$  for the operator of integration with respect to the variable  $z_\ell$ . This operator acts on the power series  $\sum_{n=0}^\infty a_n z_\ell^n$ , where  $a_n$  are power series in the other variables, as follows:

$$I_\ell \left( \sum_{n=0}^\infty a_n z_\ell^n \right) = \sum_{n=0}^\infty a_n \frac{z_\ell^{n+1}}{n+1}.$$

We will use the expansion

$$(x_1 + x_2) \dots (x_{k-1} + x_k) = \sum_{\alpha} c_{\alpha} x^{\alpha},$$

in which the coefficients  $c_{\alpha}$  can be computed explicitly. Let  $E_k^*$  be the sum of all terms in  $E_k$  divisible by  $z_1 \dots z_k$ . Then we have ( $i, j, \alpha$  being multi-indices of dimension  $k$ )

$$E_k^* = \sum_{i>0} A^\infty(x^i) \frac{z^i}{i!} = \sum_{i>0} \sum_{\alpha} c_{\alpha} A^\infty(x^{i-1+\alpha}) \frac{z^i}{i!} =$$

$$= \sum_{\alpha} c_{\alpha} \partial^{\alpha} I_1 \dots I_k \sum_{i \geq 0} A^{\infty}(x^{i-1+\alpha}) \frac{z^{i-1+\alpha}}{(i-1+\alpha)!} = \sum_{\alpha} c_{\alpha} \partial^{\alpha} I_1 \dots I_k \sum_{j \geq \alpha} A^{\infty}(x^j) \frac{z^j}{j!}.$$

Apply the differential operator  $\partial_1 \dots \partial_k$  to both sides of this equation. Note that  $\partial_1 \dots \partial_k(E_k^*) = \partial_1 \dots \partial_k(E_k)$ . Thus we have

$$\partial_1 \dots \partial_k(E_k) = \sum_{\alpha} c_{\alpha} \partial^{\alpha} \sum_{j \geq \alpha} A^{\infty}(x^j) \frac{z^j}{j!}.$$

Observe also that, since  $\alpha \geq 0$  whenever  $c_{\alpha} \neq 0$ , we have

$$\partial^{\alpha} \sum_{j \geq \alpha} A^{\infty}(x^j) \frac{z^j}{j!} = \partial^{\alpha} E_k.$$

This implies Theorem 1.1.

EXAMPLE:  $k = 1$  AND  $k = 2$ . In the case  $k = 1$ , we have  $E_1 = e^{z_1}$ . Consider now the case  $k = 2$ . Set  $E = E_2$ ,  $x = z_1$  and  $y = z_2$ . By Theorem 1.1, the function  $E$  satisfies the following partial differential equation:

$$E_{xy} = E_x + E_y.$$

This equation can be simplified by setting  $E = e^{x+y}u$ . Then the function  $u$  satisfies the equation

$$u_{xy} = u.$$

and the boundary value conditions  $u(x, 0) = u(0, y) = 1$ . We can now look for solutions  $u$  that have the form  $v(xy)$ , where  $v$  is some smooth function. This function must satisfy the initial condition  $v(0) = 1$  and the ordinary differential equation

$$tv''(t) + v'(t) - v(t) = 0.$$

It is known that the only analytic solution of this initial value problem is  $I_0(2\sqrt{t})$ , where  $I_0$  is the modified Bessel function of the first kind. Thus  $I_0(2\sqrt{xy})$  is a partial solution of the boundary value problem  $u_{xy} = u$ ,  $u(x, 0) = u(0, y) = 1$ . The solution of this boundary value problem is unique (note that the boundary values are defined on characteristic curves!). Therefore, we must conclude that  $E(x, y) = e^{x+y}I_0(2\sqrt{xy})$ .

The proof of Theorem 1.2 is very similar to the proof of Theorem 1.1. Let  $G_k^*$  be the sum of all terms in  $G_k$  that are divisible by  $y_1 \dots y_k$ , i.e.

$$G_k^* = \sum_{i \geq 0} V(1^{i_1} \dots k^{i_k}) y^i.$$

Then, similarly to a formula obtained for  $E_k^*$ , we have

$$G_k^* = \sum_{\alpha} c_{\alpha} y_1^{1-\alpha_1} \dots y_k^{1-\alpha_k} \sum_{j \geq \alpha} A^{\infty}(x^j) y^j.$$

Applying the operator  $\Delta_1 \dots \Delta_k$  to both sides of this equation, we obtain Theorem 1.2. Similarly to the proof of Theorem 1.1, we need to use that

$$\Delta_1 \dots \Delta_k(G_k^*) = \Delta_1 \dots \Delta_k(G_k)$$

and that

$$\Delta_1^{\alpha_1} \dots \Delta_k^{\alpha_k}(G_k) = y_1^{-\alpha_1} \dots y_k^{-\alpha_k} \sum_{j \geq \alpha} A^\infty(x^j) y^j.$$

We will now discuss several examples.

EXAMPLE:  $k = 1$  AND  $k = 2$ . For  $k = 1$ , we have the following equation:  $\Delta_1 G_1 = G_1$ , i.e.  $G_1(y_1) - G_1(0) = y_1 G_1(y_1)$ . Knowing that  $G_1(0) = 1$ , this gives

$$G_1(y_1) = 1 + y_1 + y_1^2 + \dots = \frac{1}{1 - y_1}.$$

Suppose that  $k = 2$ . Set  $G = G_2$ ,  $x = y_1$ ,  $y = y_2$ . The function  $G$  satisfies the following equation

$$\Delta_x \Delta_y G = \Delta_x G + \Delta_y G.$$

Note that  $G(x, 0) = G_1(x)$  and  $G(0, y) = G_1(y)$ . Therefore, the right-hand side can be rewritten as

$$\frac{G - \frac{1}{1-y}}{x} + \frac{G - \frac{1}{1-x}}{y}.$$

The left-hand side is

$$\Delta_x \left( \frac{G - \frac{1}{1-x}}{y} \right) = \frac{1}{x} \left( \frac{G - \frac{1}{1-x}}{y} - \frac{\frac{1}{1-y} - 1}{y} \right).$$

Solving the linear equation on  $G$  thus obtained, we conclude that

$$G = \frac{1}{1 - x - y}.$$

EXAMPLE:  $k = 3$ . We set  $G = G_3$ ,  $x = y_1$ ,  $y = y_2$  and  $z = y_3$ . The function  $G$  satisfies the following equation:  $\Delta_x \Delta_y \Delta_z G = (\Delta_x + \Delta_y)(\Delta_y + \Delta_z)G$ . This equation can be rewritten as follows:

$$\Delta_y^2 G = \frac{G(1 - x - y - z) - 1}{xyz}.$$

Suppose that  $G = G(x, 0, z) + A(x, z)y + \dots$ , where dots denote the terms divisible by  $y^2$ . Then we have

$$y^2 \Delta_y^2 G = G - G(x, 0, z) - A(x, z)y = G - \frac{1}{1 - x - z} - A(x, z)y.$$

Substituting this into the equation, we can solve the equation for  $G$  in terms of  $A$ :

$$G = \frac{-xz + y(1 - x - z)(1 - A(x, z)xz)}{(1 - x - z)(y - (x + y)(y + z))}.$$

Since the power series  $1 - x - z$  is invertible, it follows that  $G$  has the form

$$\frac{a + by}{y - (x + y)(y + z)},$$

where  $a$  and  $b$  are some power series in  $x$  and  $z$ . Let  $\lambda$  and  $\mu$  be the two solutions of the equation  $y = (x + y)(y + z)$ , namely,

$$\lambda, \mu = \frac{1 - x - z \pm \sqrt{1 - 2(x + z) + (x - z)^2}}{2}.$$

The signs are chosen so that, at the point  $x = z = 0$ , we have  $\lambda = 1$  and  $\mu = 0$ . Then

$$\frac{1}{y - (x + y)(y + z)} = \frac{c}{y - \lambda} + \frac{d}{y - \mu},$$

where  $c$  and  $d$  are some power series in  $x$  and  $z$ . Note that, since  $(y - \lambda)^{-1}$  makes sense as a power series,  $c(a + by)/(y - \lambda)$  can be represented as a power series in  $x$ ,  $y$  and  $z$ . Thus the function  $d(a + by)/(y - \mu)$  must also be representable as a power series in  $x$ ,  $y$  and  $z$ . However, this is only possible if the numerator is a multiple of the denominator, i.e.  $(a + by) = e(y - \mu)$ , where the coefficient  $e$  is a power series of  $x$  and  $z$ . It follows that  $G$  is equal to  $e(y - \lambda)^{-1}$ . The coefficient  $e$  can be found from the condition  $G(x, 0, z) = \frac{1}{1 - x - z}$ :

$$\begin{aligned} G &= \frac{1}{1 - x - z} \frac{\lambda}{\lambda - y} = \\ &= \frac{2xz - y(1 - x - z) - y\sqrt{1 - 2(x + z) + (x - z)^2}}{2(1 - x - z)((x + y)(y + z) - y)}. \end{aligned}$$

#### 4. PROOF OF THEOREM 1.4

In this section, we will prove Theorem 1.4, which expresses the numbers  $V_{k,\ell,m}$  as coefficients of certain polynomials. The numbers  $V_{k,\ell,m}$  satisfy the following recurrence relation:

$$V_{k,\ell,m} = V_{k-1,\ell,m} + V_{k,\ell-1,m} + V_{k,\ell,m-1} + V_{k-1,\ell+1,m-1}$$

provided that  $k, \ell, m > 0$ , and the following initial conditions:

$$V_{0,\ell,m} = V_{\ell,m}, \quad V_{k,0,m} = V_{k,m}, \quad V_{k,\ell,0} = V_{k,\ell}.$$

Set  $V_{k,m}^s = V_{k,s-k-m,m}$ . Then we can write the following recurrence relations on the numbers  $V_{k,m}^s$ :

$$V_{k,m}^s = V_{k-1,m}^{s-1} + V_{k,m-1}^{s-1} + V_{k-1,m-1}^{s-1} + V_{k,m}^{s-1}$$

provided that  $k \geq 1, m \geq 1, k + m \leq s - 1$ , and

$$V_{k,m}^s = V_{k-1,m}^{s-1} + V_{k,m-1}^{s-1}$$

provided that  $k + m = s$ .



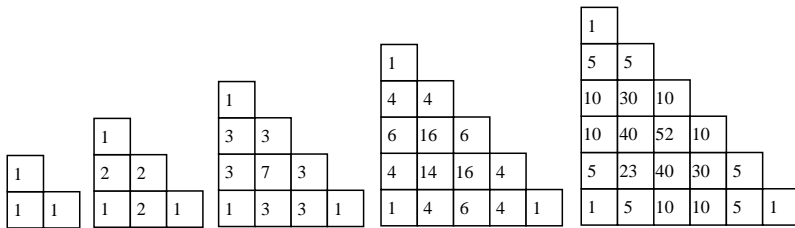


FIGURE 1. Triangular tables  $T^s$  containing the numbers  $V_{k,m}^s$ . South-west corners of these tables are located at  $(0, 0)$ .

For a fixed  $s$ , we can arrange the numbers  $V_{k,m}^s$  into a triangular table  $T^s$  of size  $s$  as shown on Figure 1. Namely, the number  $V_{k,m}^s$  is placed into the cell, whose southwest (lower left) corner is at position  $(k, m)$ . The next table  $T^{s+1}$  can be obtained from the table  $T^s$  as follows. First, we add to every element of  $T^s$  its south, west and southwest neighbors. Next, we add a line of cells, whose positions  $(k, m)$  satisfy the equality  $k + m = s$ . In every cell of this line, we put the sum of the south and west neighbors. Note that, by construction, the boundary of every table  $T^s$  consists of binomial coefficients.

Consider the generating function  $G = G_3$  for the numbers  $V_{k,\ell,m}$ . The splitting of  $G$  into homogeneous components can be obtained by expanding the function  $G(xy, y, zy)$  into powers of  $y$ . We set

$$G(xy, y, zy) = \sum_{s=0}^{\infty} g_s(x, z)y^s$$

Then we have

$$g_s(x, z) = \sum_{k=0}^s \sum_{m=0}^{s-k} V_{k,m}^s x^k z^m.$$

Thus the coefficients of the polynomial  $g_s$  are precisely elements of the table  $T^s$ . The recurrence relations on the numbers  $V_{k,m}^s$  displayed above imply the following property of the generating functions  $g_s$ :

**Proposition 4.1.** *The polynomials  $g_s$  satisfy the following recurrence relations:*

$$g_{s+1} = (1 + x + z)g_s + \tau_{\leq s}(xzg_s),$$

where the truncation operator  $\tau_{\leq s}$  acts on a polynomial by removing all terms, whose degrees exceed  $s$ .

Consider the polynomials

$$h_s(x, z) = g_s(x, z) - (xz)^s g_s(z^{-1}, x^{-1}).$$

Geometrically, these polynomials can be described as follows. Let  $\tilde{T}^s$  denote the table, into which we put all coefficients of the polynomial  $h_s$ , see Figure 2. The lower left triangle of size  $s - 1$  is the same in the tables  $T^s$  and  $\tilde{T}^s$ . The table  $\tilde{T}^s$

[illegible]

FIGURE 2. The skew-symmetric tables  $\tilde{T}^s$ .

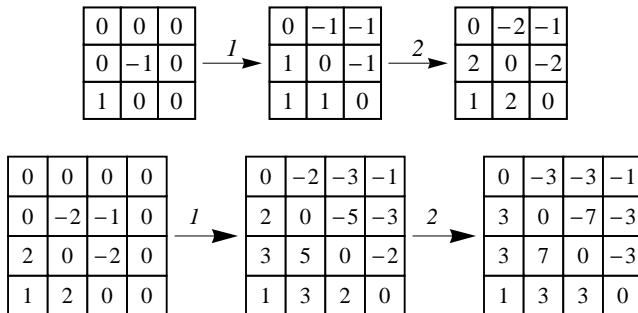


FIGURE 3. The rules of generating the tables  $\tilde{T}^s$ .

is skew-symmetric with respect to the main diagonal. These two properties give a unique characterization of the tables  $\tilde{T}^s$ .

The rules, by which the tables  $\tilde{T}^s$  are formed, are the following (see Figure 3). The first table  $\tilde{T}^1$  is by definition the left-most table shown on Figure 2. The next table  $\tilde{T}^{s+1}$  is obtained inductively from the preceding table  $\tilde{T}^s$  in two steps. In the first step, we add to every element of  $\tilde{T}^s$  its immediate west, south and southwest neighbors. In the second step, we modify elements in two diagonals of the table, namely, the elements, whose positions (measured by southwest corners)  $(k, m)$  satisfy the equality  $k + m = s$  or  $k + m = s + 2$ . To the cell at position  $(k, m)$ , where  $k + m = s$ , we add the binomial coefficient  $\binom{k+m}{m}$ . From the cell at position  $(k + 1, m + 1)$ , we subtract this binomial coefficient.

We have the following recurrence relation on the polynomials  $h_s$ :

$$h_{s+1} = h_s(1+x)(1+z) + (1-xz)(x+z)^s,$$

which does not contain truncation operators. Therefore, the generating function  $H = \sum_{s=0}^{\infty} h_s y^s$  satisfies the following linear equation:

$$H = y((1+x)(1+z)H + (1-xz)(1-y(x+z))^{-1}).$$

Solving this equation, we find that

$$H = \frac{y(1 - xz)}{(1 - y(x + z))(1 - y(1 + x)(1 + z))}.$$

Knowing the generating function  $H$ , we can now obtain an explicit formula for the polynomials  $h_s$ , namely,

$$h_s(x, z) = \frac{1 - xz}{1 + xz} ((1 + x)^s(1 + z)^s - (x + z)^s).$$

Theorem 1.4 is thus proved.

### Open problems.

- (1) Prove or disprove: the generating function  $G_4$  is algebraic. Note that  $G_1$  and  $G_2$  are rational, and  $G_3$  is algebraic.
- (2) Deduce differential or difference equations on the generating functions for the  $f$ -vectors and for the modified  $h$ -vectors of Gelfand–Zetlin polytopes.

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