

## FLAG VARIETIES AS EQUIVARIANT COMPACTIFICATIONS OF $\mathbb{G}_a^n$

IVAN V. ARZHANTSEV

(Communicated by Harm Derksen)

ABSTRACT. Let  $G$  be a semisimple affine algebraic group and  $P$  a parabolic subgroup of  $G$ . We classify all flag varieties  $G/P$  which admit an action of the commutative unipotent group  $\mathbb{G}_a^n$  with an open orbit.

### INTRODUCTION

Let  $G$  be a connected semisimple affine algebraic group of adjoint type over an algebraically closed field of characteristic zero and let  $P$  be a parabolic subgroup of  $G$ . The homogeneous space  $G/P$  is called a (generalized) flag variety. Recall that  $G/P$  is complete and the action of the unipotent radical  $P_u^-$  of the opposite parabolic subgroup  $P^-$  on  $G/P$  by left multiplication is generically transitive. The open orbit  $\mathcal{O}$  of this action is called the big Schubert cell on  $G/P$ . Since  $\mathcal{O}$  is isomorphic to the affine space  $\mathbb{A}^n$ , where  $n = \dim G/P$ , every flag variety may be regarded as a compactification of an affine space.

Notice that the affine space  $\mathbb{A}^n$  has a structure of a vector group or, equivalently, of the commutative unipotent affine algebraic group  $\mathbb{G}_a^n$ . We say that a complete variety  $X$  of dimension  $n$  is an equivariant compactification of the group  $\mathbb{G}_a^n$  if there exists a regular action  $\mathbb{G}_a^n \times X \rightarrow X$  with a dense open orbit. A systematic study of equivariant compactifications of the group  $\mathbb{G}_a^n$  was initiated by B. Hassett and Yu. Tschinkel in [4]; see also [10] and [1].

In this note we address the question whether a flag variety  $G/P$  may be realized as an equivariant compactification of  $\mathbb{G}_a^n$ . Clearly, this is the case when the group  $P_u^-$ , or, equivalently, the group  $P_u$  is commutative. It is a classical result that the connected component  $\tilde{G}$  of the automorphism group of the variety  $G/P$  is a semisimple group of adjoint type, and  $G/P = \tilde{G}/Q$  for some parabolic subgroup  $Q \subset \tilde{G}$ . In most cases the group  $\tilde{G}$  coincides with  $G$ , and all exceptions are well known; see [6], [7, Theorem 7.1], [12, page 118], [3, Section 2]. If  $\tilde{G} \neq G$ , we say that  $(\tilde{G}, Q)$  is the covering pair of the exceptional pair  $(G, P)$ . For a simple group  $G$ , the exceptional pairs are  $(\mathrm{PSp}(2r), P_1)$ ,  $(\mathrm{SO}(2r+1), P_r)$  and  $(G_2, P_1)$  with the covering pairs  $(\mathrm{PSL}(2r), P_1)$ ,  $(\mathrm{PSO}(2r+2), P_{r+1})$  and  $(\mathrm{SO}(7), P_1)$  respectively, where  $PH$

---

Received by the editors March 14, 2010.

2010 *Mathematics Subject Classification*. Primary 14M15; Secondary 14L30.

*Key words and phrases*. Semisimple groups, parabolic subgroups, flag varieties, automorphisms.

The author was supported by RFBR Grants 09-01-00648-a, 09-01-90416-Ukr-f-a, and the Deligne 2004 Balzan Prize in Mathematics.

denotes the quotient of the group  $H$  by its center and  $P_i$  is the maximal parabolic subgroup associated with the  $i$ th simple root. It turns out that for a simple group  $G$  the condition  $\tilde{G} \neq G$  implies that the unipotent radical  $Q_u$  is commutative and  $P_u$  is not. In particular, in this case  $G/P$  is an equivariant compactification of  $\mathbb{G}_a^n$ . Our main result states that these are the only possible cases.

**Theorem 1.** *Let  $G$  be a connected semisimple group of adjoint type and  $P$  a parabolic subgroup of  $G$ . Then the flag variety  $G/P$  is an equivariant compactification of  $\mathbb{G}_a^n$  if and only if for every pair  $(G^{(i)}, P^{(i)})$ , where  $G^{(i)}$  is a simple component of  $G$  and  $P^{(i)} = G^{(i)} \cap P$ , one of the following conditions holds:*

- (1) *The unipotent radical  $P_u^{(i)}$  is commutative.*
- (2) *The pair  $(G^{(i)}, P^{(i)})$  is exceptional.*

For the convenience of the reader, we list all pairs  $(G, P)$ , where  $G$  is a simple group (up to local isomorphism) and  $P$  is a parabolic subgroup with a commutative unipotent radical:

$$\begin{aligned}
 &(\mathrm{SL}(r+1), P_i), \quad i = 1, \dots, r; \quad (\mathrm{SO}(2r+1), P_1); \quad (\mathrm{Sp}(2r), P_r); \\
 &(\mathrm{SO}(2r), P_i), \quad i = 1, r-1, r; \quad (E_6, P_i), \quad i = 1, 6; \quad (E_7, P_7);
 \end{aligned}$$

see [9, Section 2]. The simple roots  $\{\alpha_1, \dots, \alpha_r\}$  are indexed as in [2, Planches I-IX]. Note that the unipotent radical of  $P_i$  is commutative if and only if the simple root  $\alpha_i$  occurs in the highest root  $\rho$  with coefficient 1; see [9, Lemma 2.2]. Another equivalent condition is that the fundamental weight  $\omega_i$  of the dual group  $G^\vee$  is minuscule; i.e., the weight system of the simple  $G^\vee$ -module  $V(\omega_i)$  with the highest weight  $\omega_i$  coincides with the orbit  $W\omega_i$  of the Weyl group  $W$ .

### 1. PROOF OF THEOREM 1

If the unipotent radical  $P_u^-$  is commutative, then the action of  $P_u^-$  on  $G/P$  by left multiplication is the desired generically transitive  $\mathbb{G}_a^n$ -action; see, for example, [5, pp. 22-24]. The same arguments work when for the connected component  $\tilde{G}$  of the automorphism group  $\mathrm{Aut}(G/P)$  one has  $G/P = \tilde{G}/Q$  and the unipotent radical  $Q_u^-$  is commutative. Since

$$G/P \cong G^{(1)}/P^{(1)} \times \dots \times G^{(k)}/P^{(k)},$$

where  $G^{(1)}, \dots, G^{(k)}$  are the simple components of the group  $G$ , the group  $\tilde{G}$  is isomorphic to the direct product  $\tilde{G}^{(1)} \times \dots \times \tilde{G}^{(k)}$ ; cf. [8, Chapter 4]. Moreover,  $Q_u \cong Q_u^{(1)} \times \dots \times Q_u^{(k)}$  with  $Q^{(i)} = \tilde{G}^{(i)} \cap Q$ . Thus the group  $Q_u^-$  is commutative if and only if for every pair  $(G^{(i)}, P^{(i)})$  either  $P_u^{(i)}$  is commutative or the pair  $(G^{(i)}, P^{(i)})$  is exceptional.

Conversely, assume that  $G/P$  admits a generically transitive  $\mathbb{G}_a^n$ -action. One may identify  $\mathbb{G}_a^n$  with a commutative unipotent subgroup  $H$  of  $\tilde{G}$ , and the flag variety  $G/P$  with  $\tilde{G}/Q$ , where  $Q$  is a parabolic subgroup of  $\tilde{G}$ .

Let  $T \subset B$  be a maximal torus and a Borel subgroup of the group  $\tilde{G}$  such that  $B \subseteq Q$ . Consider the root system  $\Phi$  of the tangent algebra  $\mathfrak{g} = \mathrm{Lie}(\tilde{G})$  defined by the torus  $T$ , its decomposition  $\Phi = \Phi^+ \cup \Phi^-$  into positive and negative roots associated with  $B$ , the set of simple roots  $\Delta \subseteq \Phi^+$ ,  $\Delta = \{\alpha_1, \dots, \alpha_r\}$ , and the root

decomposition

$$\mathfrak{g} = \bigoplus_{\beta \in \Phi^-} \mathfrak{g}_\beta \oplus \mathfrak{t} \oplus \bigoplus_{\beta \in \Phi^+} \mathfrak{g}_\beta,$$

where  $\mathfrak{t} = \text{Lie}(T)$  is a Cartan subalgebra in  $\mathfrak{g}$  and

$$\mathfrak{g}_\beta = \{x \in \mathfrak{g} : [y, x] = \beta(y)x \text{ for all } y \in \mathfrak{t}\}$$

is the root subspace. Set  $\mathfrak{q} = \text{Lie}(Q)$  and  $\Delta_Q = \{\alpha \in \Delta : \mathfrak{g}_{-\alpha} \not\subseteq \mathfrak{q}\}$ . For every root  $\beta = a_1\alpha_1 + \dots + a_r\alpha_r$  define  $\text{deg}(\beta) = \sum_{\alpha_i \in \Delta_Q} a_i$ . This gives a  $\mathbb{Z}$ -grading on the Lie algebra  $\mathfrak{g}$ :

$$\mathfrak{g} = \bigoplus_{k \in \mathbb{Z}} \mathfrak{g}_k, \quad \text{where } \mathfrak{t} \subseteq \mathfrak{g}_0 \text{ and } \mathfrak{g}_\beta \subseteq \mathfrak{g}_k \text{ with } k = \text{deg}(\beta).$$

In particular,

$$\mathfrak{q} = \bigoplus_{k \geq 0} \mathfrak{g}_k \quad \text{and} \quad \mathfrak{q}_u^- = \bigoplus_{k < 0} \mathfrak{g}_k.$$

Assume that the unipotent radical  $Q_u^-$  is not commutative, and consider  $\mathfrak{g}_\beta \subseteq [\mathfrak{q}_u^-, \mathfrak{q}_u^-]$ . For every  $x \in \mathfrak{g}_\beta \setminus \{0\}$  there exist  $z' \in \mathfrak{g}_{\beta'} \subseteq \mathfrak{q}_u^-$  and  $z'' \in \mathfrak{g}_{\beta''} \subseteq \mathfrak{q}_u^-$  such that  $x = [z', z'']$ . In this case  $\text{deg}(z') > \text{deg}(x)$  and  $\text{deg}(z'') > \text{deg}(x)$ .

Since the subgroup  $H$  acts on  $\tilde{G}/Q$  with an open orbit, one may conjugate  $H$  and assume that the  $H$ -orbit of the point  $eQ$  is open in  $\tilde{G}/Q$ . This implies that  $\mathfrak{g} = \mathfrak{q} \oplus \mathfrak{h}$ , where  $\mathfrak{h} = \text{Lie}(H)$ . On the other hand,  $\mathfrak{g} = \mathfrak{q} \oplus \mathfrak{q}_u^-$ . So every element  $y \in \mathfrak{h}$  may be (uniquely) written as  $y = y_1 + y_2$ , where  $y_1 \in \mathfrak{q}$ ,  $y_2 \in \mathfrak{q}_u^-$ , and the linear map  $\mathfrak{h} \rightarrow \mathfrak{q}_u^-$ ,  $y \mapsto y_2$ , is bijective. Take the elements  $y, y', y'' \in \mathfrak{h}$  with  $y_2 = x, y'_2 = z', y''_2 = z''$ . Since the subgroup  $H$  is commutative, one has  $[y', y''] = 0$ . Thus

$$[y'_1 + y'_2, y''_1 + y''_2] = [y'_1, y''_1] + [y'_2, y''_1] + [y'_1, y''_2] + [y'_2, y''_2] = 0.$$

But

$$[y'_2, y''_2] = x \quad \text{and} \quad [y'_2, y''_1] + [y'_1, y''_2] + [y'_2, y''_2] \in \bigoplus_{k > \text{deg}(x)} \mathfrak{g}_k.$$

This contradiction shows that the group  $Q_u^-$  is commutative. As we have seen, the latter condition means that for every pair  $(G^{(i)}, P^{(i)})$  either the unipotent radical  $P_u^{(i)}$  is commutative or the pair  $(G^{(i)}, P^{(i)})$  is exceptional. The proof of Theorem 1 is completed.

## 2. CONCLUDING REMARKS

If a flag variety  $G/P$  is an equivariant compactification of  $\mathbb{G}_a^n$ , then it is natural to ask for a classification of all generically transitive  $\mathbb{G}_a^n$ -actions on  $G/P$  up to equivariant isomorphism. Consider the projective space  $\mathbb{P}^n \cong \text{SL}(n+1)/P_1$ . In [4], a correspondence between equivalence classes of generically transitive  $\mathbb{G}_a^n$ -actions on  $\mathbb{P}^n$  and isomorphism classes of local (associative, commutative) algebras of dimension  $n+1$  was established. This correspondence together with classification results from [11] yields that for  $n \geq 6$  the number of equivalence classes of generically transitive  $\mathbb{G}_a^n$ -actions on  $\mathbb{P}^n$  is infinite; see [4, Section 3]. On the contrary, a generically transitive  $\mathbb{G}_a^n$ -action on the nondegenerate projective quadric  $Q_n \cong \text{SO}(n+2)/P_1$  is unique [10, Theorem 4]. It would be interesting to study the same problem for the Grassmannians  $\text{Gr}(k, r+1) \cong \text{SL}(r+1)/P_k$ , where  $2 \leq k \leq r-1$ .

## ACKNOWLEDGEMENTS

The author is indebted to N. A. Vavilov for a discussion which resulted in this paper. Thanks are also due to D. A. Timashev and M. Zaidenberg for their interest and valuable comments.

## REFERENCES

- [1] I. V. Arzhantsev and E. V. Sharoyko, *Hassett-Tschinkel correspondence: modality and projective hypersurfaces*, arXiv:0912.1474 [math.AG].
- [2] N. Bourbaki, *Groupes et algèbres de Lie*, Chaps. 4, 5 and 6, Hermann, Paris, 1968. MR0240238 (39:1590)
- [3] M. Demazure, *Automorphismes et déformations des variétés de Borel*, Invent. Math. **39** (1977), 179–186. MR0435092 (55:8054)
- [4] B. Hassett and Yu. Tschinkel, *Geometry of equivariant compactifications of  $\mathbb{G}_a^n$* , Int. Math. Res. Notices **22** (1999), 1211–1230. MR1731473 (2000j:14073)
- [5] V. Lakshmibai and K. N. Raghavan, *Standard Monomial Theory*, Encyclopaedia of Mathematical Sciences, vol. 137, Springer, 2008. MR2388163 (2008m:14095)
- [6] A. L. Onishchik, *On compact Lie groups transitive on certain manifolds*, Sov. Math. Dokl. **1** (1961), 1288–1291. MR0150238 (27:239)
- [7] A. L. Onishchik, *Inclusion relations between transitive compact transformation groups*, Tr. Mosk. Mat. O.-va (Russian) **11** (1962), 199–242. MR0153779 (27:3740)
- [8] A. L. Onishchik, *Topology of transitive transformation groups*, Johann Ambrosius Barth., Leipzig, 1994. MR1266842 (95e:57058)
- [9] R. Richardson, G. Röhrle and R. Steinberg, *Parabolic subgroups with abelian unipotent radical*, Invent. Math. **110** (1992), 649–671. MR1189494 (93j:20092)
- [10] E. V. Sharoyko, *Hassett-Tschinkel correspondence and automorphisms of a quadric*, Sbornik: Math. **200** (2009), 1715–1729. MR2590000
- [11] D. A. Suprunenko and R. I. Tyshkevich, *Commutative matrices*, Academic Press, New York, 1969. MR0201472 (34:1356)
- [12] J. Tits, *Espaces homogènes complexes compacts*, Comm. Math. Helv. **37** (1962), 111–120. MR0154299 (27:4248)

DEPARTMENT OF HIGHER ALGEBRA, FACULTY OF MECHANICS AND MATHEMATICS, MOSCOW STATE UNIVERSITY, LENINSKIE GORY 1, GSP-1, MOSCOW, 119991, RUSSIA

*E-mail address:* arjantse@mccme.ru